### POLYMORPHIC CHIP MULTIPROCESSOR ARCHITECTURE

#### A DISSERTATION

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### ABSTRACT

Over the last several years uniprocessor performance scaling slowed significantly because of power dissipation limits and the exhausted benefits of deeper pipelining and instruction-level parallelism. To continue scaling performance, microprocessor designers switched to Chip Multi-Processors (CMP). Now the key issue for continued performance scaling is the development of parallel software applications that can exploit their performance potential. Because the development of such applications using traditional shared memory programming models is difficult, researchers have proposed new parallel programming models such as streaming and transactions. While these models are attractive for certain types of applications they are likely to co-exist with existing shared memory applications.

We designed a polymorphic Chip Multi-Processor architecture, called Smart Memories, which can be configured to work in any of these three programming models. The design of the Smart Memories architecture is based on the observation that the difference between these programming models is in the semantics of memory operations. Thus, the focus of the Smart Memories project was on the design of a reconfigurable memory system. All memory systems have the same fundamental hardware resources such as data storage and interconnect. They differ in the control logic and how the control state associated with the data is manipulated. The Smart Memories architecture combines reconfigurable memory blocks, which have data storage and metadata bits used for control state, and programmable protocol controllers, to map shared memory, streaming, and transactional models with little overhead. Our results show that the Smart Memories architecture achieves good performance scalability. We also designed a test chip which is an implementation of Smart Memories architecture. It contains eight Tensilica processors and the reconfigurable memory system. The dominant overhead was from the use of flops to create some of the specialized memory structures that we required. Since previous work has shown this overhead can be made small, our test-chip confirmed that hardware overhead for reconfigurability would be modest.

This thesis describes the polymorphic Smart Memories architecture and how three different models—shared memory, streaming and transactions—can be mapped onto it, and presents performance evaluation results for applications written for these three models.

We found that the flexibility of the Smart Memories architecture has other benefits in addition to better performance. It helped to simplify and optimize complex software runtime systems such as Stream Virtual Machine or transactional runtime, and can be used for various semantic extensions of a particular programming model. For example, we implemented fast synchronization operations in the shared memory mode which utilize metadata bits associated with data word for fine-grain locks.

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## TABLE OF CONTENTS

List of Tables	xii
List of Figures	xiii
Chapter 1: Introduction	1
Chapter 2: Architectural Trends	3
2.1 Processor Performance and Microarchitecture Trends	3
2.2 Chip Multiprocessors	8
2.3 Parallel Memory Models	9
2.3.1 Shared Memory Model with Cache Coherence	10
2.3.2 Streaming Memory Model	12
2.3.3 Transactional Memory Model	14
2.4 The Case for A Polymorphic Chip Multi-Processor	19
Chapter 3: Smart Memories Architecture	22
3.1 Overall Architecture	22
3.2 Processor	25
3.2.1 Interfacing the Tensilica Processor to Smart Memories	26
3.2.2 Special Memory Access Instructions	28
3.2.3 Pipelining Issues Related to Special Memory Instructions	29
3.2.4 Pre-Defined Options and VLIW Processor Extensions	31
3.3 Memory Mat	32
3.4 Tile	35
3.4.1 Interface Logic	37
3.4.2 Crossbar	40
3.4.3 Statistics and Debugging Unit	41
3.4.4 Tile Timing	42
3.4.5 Tile Reconfigurability Overhead	43

3.5 Quad	45
3.5.1 Protocol Controller	47
3.5.2 Example of Protocol Controller Operation	54
3.5.3 Related Work and Discussion of Protocol Controller Design	54
3.6 On-Chip Network	
3.7 Memory Controller	
3.8 Smart Memories Test Chip	62
3.9 Summary	64
Chapter 4: Shared Memory	65
4.1 Hardware Configuration	65
4.2 Fast Fine-Grain Synchronization	68
4.3 Evaluation	70
4.3.1 Performance of Fast Fine-Grain Synchronization Operations	73
4.3.2 MPEG-2 Encoder	75
4.4 Conclusions	81
Chapter 5: Streaming	
5.1 Hardware Configuration	
5.2 Direct Memory Access Channels	
5.3 Runtime	86
5.3.1 Stream Virtual Machine	
5.3.2 Pthreads Runtime for Streaming	91
5.4 Evaluation	
5.4.1 Application Case Study: 179.art	
5.5 Conclusions	104
Chapter 6: Transactions	
6.1 Transational Functionality	
6.2 Hardware Configuration	
6.3 TCC Optimization	113
6.4 TCC Runtime	115

6.4.1 Arbitration for commit	115
6.4.2 Hardware Overflow	116
6.4.3 Processor State Recovery from Violation	117
6.5 Evaluation	119
6.6 Possible Extensions and Optimizations	124
6.7 Conclusions	125
Chapter 7: Conclusions and Future Directions	127
7.1 Future Directions	128
Appendix A: Special Memory Instructions	129
Appendix B: 179.art Streaming Optimizations	131
Appendix C: TCC Application Programming Interface	135
Appendix D: Using A Register Windows Mechanism for Processor State	
Checkpointing	137
Bibliography	140

## LIST OF TABLES

Number	Page
Table 3.1: Tile area breakdown after logic synthesis	45
Table 3.2: Virtual channel assignment	57
Table 3.3: Smart Memories test chip details	63
Table 3.4: Smart Memories test chip area breakdown	64
Table 4.1: Shared memory applications	70
Table 4.2: Shared memory kernels	70
Table 4.3: System configuration parameters	71
Table 5.1: Streaming Applications	94
Table 6.1: Characteristics of Smart Memories transactional mode	119
Table 6.2: Percentage of TCC buffered loads and stores	124

## LIST OF FIGURES

Number	Page
Figure 2.1: Integer Application Performance (SPECint2006)	4
Figure 2.2: Floating Point Application Performance (SPECfp2006)	5
Figure 2.3: Microprocessor Clock Frequency (MHz)	6
Figure 2.4: Clock Cycle in FO4	7
Figure 2.5: Microprocessor Power Dissipation (W)	7
Figure 2.6: Normalized Energy per Instruction vs Normalized Performance	9
Figure 3.1: Smart Memories Architecture	23
Figure 3.2: Xtensa Processor Interfaces	27
Figure 3.3: Processor Interfaces to Smart Memories	
Figure 3.4: Processor Pipeline	30
Figure 3.5: Memory operation pipeline: a) without interlocks; b) with interlocks	31
Figure 3.6: Memory mat	
Figure 3.7: Tile	
Figure 3.8: Tile pipeline	43
Figure 3.9: Tile layout after place&route	44
Figure 3.10: Quad	46
Figure 3.11: Protocol Controller block diagram	
Figure 3.12: D-Unit	51
Figure 3.13: Example of cache miss processing	54
Figure 3.14: Flit Payload Formats	
Figure 3.15: Memory Controller	59
Figure 3.16: Smart Memories test chip die	63
Figure 4.1: Example of cached configuration	66
Figure 4.2: Hierarchical cache coherence	67
Figure 4.3: Shared memory application speedups	72

Figure 4.4: Shared memory kernel speedups	73
Figure 4.5: mp3d performance with and without locking	75
Figure 4.6: MPEG-2 encoder speedups for different cache configurations	78
Figure 4.7: Instruction miss rate for different cache configurations (%)	79
Figure 4.8: Instruction fetch stalls for different cache configurations (%)	79
Figure 4.9: Number of out-of-Quad fetch misses (millions)	80
Figure 4.10: Average fetch latency (cycles)	81
Figure 5.1: Example of streaming configuration	83
Figure 5.2: Alternative streaming configuration	84
Figure 5.3: SVM compiler flow for Smart Memories	87
Figure 5.4: SVM mapping on Smart Memories	90
Figure 5.5: Performance scaling of streaming applications	95
Figure 5.6: Off-chip bandwidth utilization	96
Figure 5.7: Cycle breakdown for 179.art	96
Figure 5.8: Performance scaling of streaming applications with 4MB L2 cache	98
Figure 5.9: Cycle breakdown for 179.art with 4 MB L2 cache	99
Figure 5.10: 179.art speedups	100
Figure 5.11: 179.art off-chip memory traffic	101
Figure 5.12: 179.art off-chip memory utilization	102
Figure 5.13: 179.art data cache miss rate	103
Figure 6.1: Example of TCC configuration	110
Figure 6.2: Performance scaling of TCC applications	120
Figure 6.3: Cycle breakdown for barnes	121
Figure 6.4: Cycle breakdown for mp3d	122
Figure 6.5: Cycle breakdown for fmm	122
Figure 6.6: Percentage of violated transactions	123

## **CHAPTER 1: INTRODUCTION**

For a long time microprocessor designers focused on improving the performance of sequential applications on single processor machines, achieving annual performance growth rate of over 50% [1]. This phenomenal performance growth relied on three main factors: exploiting instruction-level parallelism (ILP), decreasing the number of "gates" in each clock cycle by building faster functional units and longer instruction pipelines, and using the faster transistors provided by CMOS technology scaling [2, 3]. Unfortunately, the first two factors have reached their limit. As a result of this and limitations such as wire delay and slowly changing memory latency, single processor performance growth slowed down dramatically [1-5]. In addition, increasing complexity and deeper pipelining reduce the power efficiency of high-end microprocessors [6, 7]. These trends led researchers and industry towards parallel systems on a chip [8-22]. Parallel systems can efficiently exploit the growing number of transistors provided by continuing technology scaling [2, 4, 5].

Programmers must re-write application software to realize the benefits of these parallel systems on a chip. Since traditional parallel programming models such as shared memory and message passing are not easy to use [23-24], researchers have proposed a number of new programming models. Two of the most popular today are *streaming* [25-28] and *transactions* [29-32]. Although these new programming models are effective for some applications, they are not universal and the traditional shared memory model is still being used. Also, new programming models are still evolving as researchers refine their APIs [36-39].

The goal of the Stanford Smart Memories project is to design a flexible architecture that can support several programming models and a wide range of applications. Since processors are fundamentally flexible – their operation is set by the code they run – our

focus was on making the memory system as flexible as the processors. We designed a coarse-grain architecture that uses reconfigurable memory blocks [40, 41] and a programmable protocol controller to provide the flexible memory system. Memory blocks have additional meta-data bits and can be configured to work as various memory structures, such as cache memory or local scratchpad, for a particular programming model or application. The protocol controller can be programmed to support different memory protocols, like cache coherence or transactional coherence and consistency (TCC) [32].

This thesis describes the polymorphic Smart Memories architecture and how three different models—shared memory, streaming and transactions—can be mapped onto it, and presents performance evaluation results for applications written for these three models. In addition, this thesis discusses other benefits of reconfigurability such as optimization of complex software runtime systems and semantic extensions.

The next chapter discusses microprocessor design trends, why the industry switched to chip multi-processors, reviews multi-processor programming models and makes the case for reconfigurable, polymorphic chip multi-processors architecture. Chapter 3 describes the design of polymorphic Smart Memories architecture and the design of Smart Memories test chip. Next three chapters show how three different programming models can be mapped onto Smart Memories architecture and how reconfigurability can be used for semantic extensions and implementation of complex software runtime systems. Chapter 7 presents conclusions of this thesis.

## **CHAPTER 2: ARCHITECTURAL TRENDS**

To better understand the transition to chip multiprocessors (CMP), this chapter begins by reviewing historical processor performance trends, and how these improvements were generated. During the early 2000's power became an important limit, and further improvement in performance required more energy efficient computation. The data on prior processor design clearly shows that achieving the highest performance is not energy efficient – two processors running at half the performance dissipate much less power then one processor. Thus parallel processors allow one to continue to scale chip level performance, but gives rise to another difficult issue: generating parallel code to run on these machines.

Parallel application development is known to be a difficult problem. While there have been many attempts to create compilers that can parallelize old non-numeric code [42, 43], researchers are also exploring new computational models that are explicitly or implicitly parallel. This chapter also explores some of these programming models to better understand their requirements on the computing hardware. Since it seems likely that each of these programming models will exist in some form in the future, this chapter makes a case for a *polymorphic* chip multiprocessor architecture which can be configured to support the three described programming models as well as hybrid models, making it suitable for broad range of applications.

#### 2.1 PROCESSOR PERFORMANCE AND MICROARCHITECTURE TRENDS

Microprocessor performance initially grew exponentially at the rate of more than 50% per year, as shown in Figure 2.1 and Figure 2.2 using SPEC 2006 benchmark [48, 49]





Figure 2.1: Integer Application Performance (SPECint2006)

To achieve such growth, microprocessor designers exploited *instruction level parallelism* (ILP) to increase the number of *instructions per cycle* (IPC) [1-3] and steadily increased processor clock frequency (Figure 2.3).

There is little instruction level parallelism left that can be exploited efficiently to improve performance [1, 2]. In fact, microprocessor architects confront a complex trade-off between making more complicated processors to increase IPC and increasing clock frequency through deeper pipelining at the cost of reduced IPC [3].

<sup>&</sup>lt;sup>1</sup> For older processors, SPEC2006 numbers were estimated from older versions of SPEC benchmark using scaling factors.



Figure 2.2: Floating Point Application Performance (SPECfp2006)

Increases in clock frequency were possible because of deeper pipelining and improvements in transistor speed due to CMOS technology scaling. To separate the effect of technology scaling, processor clock cycle time can be characterized using the technology-independent delay metric of *fanout-of-four delay* (FO4), which is defined as the delay of one inverter driving four equally sized inverters [50, 51]. Figure 2.4 shows estimates of microprocessor clock cycle time expressed in terms of FO4. Clock cycle time decreased, from 60-90 FO4 in 1988 to 12-25 in 2003-2004, mainly because of deeper pipelines. Further decrease in clock cycle time measured in FO4 are unlikely because it is yielding less and less performance improvement while increasing power dissipation, complexity and cost of design [2, 3]. As a result clock frequency doesn't grow as fast as before (Figure 2.3).



Figure 2.3: Microprocessor Clock Frequency (MHz)

The only remaining factor driving uniprocessor performance is improving transistor switching speed due to CMOS technology scaling. However, as processor designs became power and wire limited, it is harder to use faster transistors to design faster uniprocessors [2]. As a result clock frequency and processor performance doesn't scale as fast as before (Figure 2.1, Figure 2.2, Figure 2.3).

Also, processor power dissipation increased because of both higher complexity and higher clock frequency, and eventually reached the limit for air-cooled chips (Figure 2.5). These trends caused a switch towards *chip multiprocessors* (CMP) or *multi-core* microprocessors.







Figure 2.5: Microprocessor Power Dissipation (W)

#### 2.2 CHIP MULTIPROCESSORS

Performance of CMPs can potentially scale with the number of the processors or cores on the die without re-design of individual processors. Therefore, the same processor design with small modifications can be used across multiple generations of product, amortizing the cost of design and verification.

Also, scaling CMP performance does not require an increase in energy dissipation per instruction; on the contrary, CMP performance can be increased simultaneously with a reduction in energy spent per instruction. Figure 2.6 shows, for single processors, how energy per instruction increases with performance. On this graph, energy dissipation and performance are both normalized with respect to technology and supply voltage. Similarly, examination of Intel microprocessor design data from i486 to Pentium 4 showed that power dissipation scales as performance<sup>1.73</sup> after factoring out technology improvements [52]. Thus, Intel Pentium 4 is approximately 6 times faster than i486 in the same technology but consumes 23 times more power [52] which means Pentium 4 spends approximately 4 times more energy per instruction.

By using a less aggressive processor design it is possible to reduce energy dissipation per instruction and at the same time use multiple processors to scale overall chip performance. This approach can thus use a growing number of transistors per chip to scale performance while staying within the limit of air-cooling [2].

The switch to chip multiprocessors also helps to reduce the effect of wire delays, which is growing relative to gate delay [2, 8, 9]. Each processor in a CMP is small relative to the total chip area, and wire length within a processor is short compared to the die size. Interprocessor communication still requires long global wires; however, the latency of interprocessor communication is less critical for performance in a multi-processor system than latency between units within a single processor. Also, these long wires can be pipelined and thus don't affect clock cycle time and performance of an individual processor in a CMP.

Chip multiprocessors are a promising approach to scaling, however, in order to achieve potential performance of the CMP architectures new parallel applications must be developed.



Figure 2.6: Normalized Energy per Instruction vs Normalized Performance

#### 2.3 PARALLEL MEMORY MODELS

The shift towards CMP architectures will benefit only parallel, concurrent applications and will have little value for today's mainstream software. Therefore, software applications must be re-designed to take advantage of parallel architectures. A parallel *programming model* defines software abstractions and constructs which programmers use to develop concurrent applications. A closely related concept is a parallel *memory model* which defines the semantics and properties of the memory system. Memory model determines how parallel processors can communicate and synchronize through memory and, thus, determines to a large extent the properties of the programming model. A large portion of existing parallel applications were developed using a multi-threaded shared memory model. Existing concurrent applications such as web-servers are mostly server-side applications which have abundant parallelism. Multi-threaded model fits well these applications because they asynchronously handle many independent request streams [23]. Also, multiple threads in such applications share no or little data or use abstract data store, such as a database which supports highly concurrent access to structured data [23]. Still, developing and scaling server-side applications can be a challenging task.

As chip multi-processors become mainstream even in desktop computers, parallel software needs to be developed for different application domains that do not necessarily have the same properties as server-side applications. A conventional multi-threaded, shared memory model might be inappropriate for these applications because it has too much non-determinism [24]. Researchers have proposed new programming and memory models such as *streaming* and *transactional memory* to help with parallel application development. The rest of this section reviews these three parallel memory models and the issues associated with them.

#### 2.3.1 SHARED MEMORY MODEL WITH CACHE COHERENCE

In cache-coherent shared memory systems, only off-chip DRAM memory is directly addressable by all processors. Because off-chip memory is slow compared to the processor, fast on-chip cache memories are used to store the most frequently used data and to reduce the average access latency. Cache management is performed by hardware and does not require software intervention. As a processor performs loads and stores, hardware attempts to capture the working set of the application by exploiting *spatial and temporal locality*. If the data requested by the processor is not in the cache, the controller replaces the cache line least likely to be used in the future with the appropriate data block fetched from DRAM.

Software threads running on different processors communicate with each other implicitly by writing and reading shared memory. Since several caches can have copies of the same cache line, hardware must guarantee cache coherence, i.e. all copies of the cache line must be consistent. Hardware implementations of cache coherence typically follow an invalidation protocol: a processor is only allowed to modify an exclusive private copy of the cache line, and all other copies must be invalidated before a write. Invalidation is performed by sending "read-for-ownership" requests to other caches. A common optimization cache coherence **MESI** is to use protocols such as (Modified/Exclusive/Shared/Invalid), which reduce the number of cases where remote cache lookups are necessary.

To resolve races between processors for the same cache line, requests must be *serialized*. In small-scale shared memory systems serialization is performed by a shared bus or ring, which broadcasts every cache miss request to all processors. The processor that wins bus arbitration receives the requested cache line first. Bus-based cache coherent systems are called also *symmetric multi-processors* (SMP) because any processor can access any main memory location, with the same average latency.

High latency and increased contention make the bus a bottleneck for large multiprocessor systems. *Distributed shared memory* (DSM) systems eliminate this bottleneck by physically distributing both processors and memories, which then communicate via an interconnection network. Directories associated with DRAM memory blocks perform coherence serialization. Directory-based cache coherence protocols try to minimize communication by keeping track of cache line sharing in the directories and sending invalidation requests only to processors that previously requested the cache line. DSM systems are also called *non-uniform memory access* (NUMA) architectures because average access latency depends on processor and memory location. Development of high-performance applications for NUMA systems can be significantly more complicated because programmers need to pay attention to where the data is located and where the computation is performed.

In comparison with traditional multiprocessor systems, chip multiprocessors have different design constraints. On one hand, chip multiprocessors have significantly higher interconnect bandwidth and lower communication latencies than traditional multi-chip multiprocessors. This implies that the efficient design points for CMPs are likely to be different from those for traditional SMP and DSM systems. Also, even applications with a non-trivial amount of data sharing and communication can perform and scale reasonably well. On the other hand, power dissipation is a major design constraint for modern CMPs; low power is consequently one of the main goals of cache coherence design.

To improve performance and increase concurrency, multiprocessor systems try to overlap and re-order cache miss refills. This raises the question of a *memory consistency model*: what event ordering does hardware guarantee [53]? *Sequential consistency* guarantees that accesses from each individual processor appear in program order, and that the result of execution is the same as if all accesses from all processors were executed in some sequential order [54]. *Relaxed consistency* models give hardware more freedom to reorder memory operations but require programmers to annotate application code with synchronization or memory barrier instructions to insure proper memory access ordering.

To synchronize execution of parallel threads and to avoid data races, programmers use synchronization primitives such as *locks* and *barriers*. Implementation of locks and barriers requires support for *atomic read-modify-write* operations, e.g. compare-and-swap or load-linked/store-conditional. Parallel application programming interfaces (API) such as POSIX threads (Pthreads) [55] and ANL macros [56] define application level synchronization primitives directly used by the programmers in the code.

#### 2.3.2 STREAMING MEMORY MODEL

Many current performance limited applications operate on large amounts of data, where the same functions are applied to each data item. One can view these applications as having a stream of data that passes through a computational *kernel* that produces another stream of data.

Researchers have proposed several stream programming languages, including StreamC/KernelC [26], StreamIt [28], Brook GPU [58], Sequoia [59], and CUDA [60]. These languages differ in their level of abstraction but they share some basic concepts. Streaming computation must be divided into a set of *kernels*, i.e. functions that cannot access arbitrary global state. Inputs and outputs of the kernel are called *streams* and must be specified explicitly as kernel arguments. Stream access patterns are typically restricted. Another important concept is *reduction variables*, which allow a kernel to do calculations involving all elements of the input stream, such as the stream's summation.

Restrictions on data usage in kernels allow streaming compilers to determine computation and input data per element of the output stream, to parallelize kernels across multiple processing elements, and to schedule all data movements explicitly. In addition, the compiler optimizes the streaming application by splitting or merging kernels for balance loading, to fit all required kernel data into local scratchpads, or to minimize data communication through *producer-consumer locality*. The complier also tries to overlap computation and communication by performing *stream scheduling*: DMA transfers run during kernel computation, which is equivalent to macroscopic prefetching.

To develop a common streaming compiler infrastructure, the *stream virtual machine* (SVM) abstraction has been proposed [61-63]. SVM gives high-level optimizing compilers for stream languages a common intermediate representation.

To support this type of application, in streaming architectures fast on-chip storage is organized as directly addressable memories called *scratchpads*, *local stores*, or *stream register files* [11, 16, 27]. Data movement within chip and between scratchpads and off-chip memory is performed by *direct memory access* (DMA) engines, which are directly controlled by application software. As a result, software is responsible for managing and

optimizing all aspects of communication: location, granularity, allocation and replacement policies, and the number of copies. Stream applications have simple and predictable data flow, so all data communication can be scheduled in advance and completely overlapped with computation, thus hiding communication latency.

Since data movements are managed explicitly by software, complicated hardware for coherence and consistency is not necessary. The hardware architecture only must support DMA transfers between local scratchpads and off-chip memory.<sup>2</sup> Processors can access their local scratchpads as FIFO queues or as randomly indexed memories [57].

Streaming is similar to *message-passing* applied in the context of CMP design. However, there are several important differences between streaming and traditional message-passing in clusters and massively parallel systems. In streaming, the user level software manages communication and its overhead is low. Message data is placed at the memory closest to the processor, not the farthest away. Also, software has to take into account the limited size of local scratchpads. Since communication between processors happens within a chip, the latency is low and the bandwidth is high. Finally, software manages both the communication between processors and the communication between processor scratchpads and off-chip memory.

#### 2.3.3 TRANSACTIONAL MEMORY MODEL

The traditional shared memory programming model usually requires programmers to use low-level primitives such as locks for thread synchronization. Locks are required to guarantee mutual exclusion when multiple threads access shared data. However, locks are hard to use and error-prone — especially when the programmer uses *fine-grain locking* 

<sup>&</sup>lt;sup>2</sup> Some recent stream machines use caches for one of the processors, the *control* processor. In these cases, while the local memory does not need to maintain coherence with the memory, the DMA often needs to be consistent with the control processor. Thus in the IBM Cell Processor the DMA engines are connected to a coherent bus and all DMA transfers are performed to coherent address space [16].

[34] to improve performance and scalability. Programming errors using locks can lead to *deadlock*. Lock-based parallel applications can also suffer from *priority inversion* and *convoying* [31]. These arise when subtle interaction between locks causes high priority tasks to wait for lower priority tasks to complete.

*Transactional memory* was proposed as a new multiprocessor architecture and programming model intended to make lock-free synchronization<sup>3</sup> of shared data accesses as efficient as conventional techniques based on locks [29-31]. The programmer must annotate applications with start transaction/end transaction commands; the hardware executes all instructions between these commands as a single atomic operation. A transaction is essentially a user-defined atomic read-modify-write operation that can be applied to multiple arbitrary words in memory. Other processors or threads can only observe transaction state before or after execution; intermediate state is hidden. If a transaction conflict is detected, such as one transaction updating a memory word read by another transaction, one of the conflicting transactions must be re-executed.

The concept of transactions is similar to the transactions in database management systems (DBMS). In DBMS, transactions provide the properties of *atomicity*, *consistency*, *isolation*, and *durability* (ACID) [65]. Transactional memory provides the properties of atomicity and isolation. Also, using transactional memory the programmer can guarantee consistency according to the chosen data consistency model.

Transactions are useful not only because they simplify synchronization of accesses to shared data but also because they make synchronization *composable* [66], i.e. transactions can be correctly combined with other programming abstractions without understanding of those other abstractions [35]. For example, a user transaction code can call a library function that contains a transaction itself. The library function transaction

<sup>&</sup>lt;sup>3</sup> *Lock-free* shared data structures allow programmers to avoid problems associated with locks [64]. This methodology requires only standard compare-and-swap instruction but introduces significant overheads and thus it is not widely used in practice.

would be subsumed by the outer transaction and the code would be executed correctly<sup>4</sup>. Unlike transactions, locks are not composable: a library function with a lock might cause deadlock.

Transactional memory implementations have to keep track of the transaction *read-set*, all memory words read by the transaction, and the *write-set*, all memory words written by the transaction. The read-set is used for conflict detection between transactions, while the write-set is used to track speculative transaction changes, which will become visible after transaction *commit* or will be dropped after transaction *abort*. Conflict detection can be either *pessimistic (eager)* or *optimistic (lazy)*. Pessimistic conflict detection checks every individual read and write performed by the transaction to see if there is a collision with another transaction. Such an approach allows early conflict detection but requires read and write sets to be visible to all other transactions in the system. In the optimistic approach, conflict detection is postponed until the transaction tries to commit.

Another design choice for transactional memory implementations is the type of *version management*. In *eager version management*, the processor writes speculative data directly into the memory as a transaction executes and keeps an *undo log* of the old values [68]. Eager conflict detection must be used to guarantee transaction atomicity with respect to other transactions. Transaction commits are fast since all data is already in place but aborts are slow because old data must be copied from the undo log. This approach is preferable if aborts are rare but may introduce subtle complications such as *weak atomicity* [69]: since transaction writes change the architectural state of the main memory they might be visible to other threads that are executing non-transactional code.

<sup>&</sup>lt;sup>4</sup> Nesting of transactions can cause subtle performance issues. *Closed-nested* and *opennested* transactions were proposed to improve the performance of applications with nested transactions [67, 36, 37]. The effects of closed-nested transaction can be rolled back by a parent transaction, while the writes of open-nested transaction can not be undone after commit.

*Lazy version management* is another alternative, where the controller keeps speculative writes in a separate structure until a transaction commits. In this case aborts are fast since the state of the memory is not changed but the commits require more work. This approach makes it easier to support *strong atomicity*: complete *transaction isolation* from both transactions and non-transactional code executed by other threads [69].

Transactional memory implementations can be classified as hardware approaches (HTM) [30-32, 68], software-only (STM) techniques [70], or mixed approaches. Two mixed approaches have been proposed: hybrid transactional memory (HyTM) supports transactional execution in hardware but falls back to software when hardware resources are exceeded [71, 72, 20], while hardware-assisted STM (HaSTM) combines STM with hardware support to accelerate STM implementations [73, 74].

In some proposed hardware transactional memory implementations, a separate transactional or conventional data cache is used to keep track of transactional reads and writes [31]. In this case, transactional support extends existing coherence protocols such as MESI to detect collisions and enforce transaction atomicity. The key issues with such approaches are arbitration between conflicting transactions and dealing with overflow of hardware structures. Memory consistency is also an issue since application threads can execute both transactional and non-transactional code.

*Transactional coherence and consistency* (TCC) is a transactional memory model in which atomic transactions are always the basic unit of parallel work, communication, and memory coherence and consistency [32]. Each of the parallel processors in a TCC model continually executes transactions. Each transaction commits its writes to shared memory only as an atomic block after arbitration for commit. Only one processor can commit at a time by broadcasting its transactional writes to all other processors and to main memory. Other processors check incoming commit information for read-write dependency violations and restart their transactions if violations are detected. Instead of imposing some order between individual memory accesses, TCC serializes transaction commits.

All accesses from an earlier committed transaction appear to happen before any memory references from a later committing transaction, even if actual execution was performed in an interleaved fashion. The TCC model guarantees strong atomicity because the TCC application only consists of transactions. A simple approach to handle hardware overflow in TCC model is to allow overflowing transaction to commit before reaching the commit point in the application. Such a transaction must stall and arbitrate for a *commit token*. Once it has the token, it is no longer speculative, and can commit its previously speculative changes to free up hardware resources, and then continue execution. It can't release the commit token until it hits the next commit point in the application. All other processors can not commit until the commit token is free. Clearly this serializes execution, since only one thread can have the commit token at a time, but it does allow overflows to be cleanly handled<sup>5</sup>.

A programmer using TCC divides an application into transactions, which will be executed concurrently on different processors. The order of transaction commits can be optionally specified. Such situations usually correspond to different phases of the application, which would have been separated by synchronization barriers in a lock-based model. To deal with such ordering requirements TCC has hardware-managed *phase numbers* for each processor, which can be optionally incremented upon transaction commit. Only transactions with the oldest phase number are allowed to commit at any time.

An example of a transactional application programming interface (API) is OpenTM [38]. The goal of OpenTM is to provide a common programming interface for various transactional memory architectures.

<sup>&</sup>lt;sup>5</sup> Another proposed approach is to switch to software transactional memory (STM) mode. This approach is called *virtualized* transactional memory. Challenges associated with virtualization are discussed in [34].

#### 2.4 THE CASE FOR A POLYMORPHIC CHIP MULTI-PROCESSOR

While new programming models such as streaming and transactional memory are promising for certain application domains, they are not universal. Both models address particular issues associated with the conventional multi-threaded shared memory model.

Specifically, the goal of streaming is to optimize the use of bandwidth and on-chip memories for applications with highly predictable memory access patterns. The streaming model strives to avoid inefficiencies resulting from the implicit nature of cache operation and cache coherence by exposing memory and communication management directly to software. However, this might be inappropriate for applications that have complex, hard to predict memory access patterns. Moreover, in some cases stream architecture with cache performs better than the same architecture without cache but with sophisticated streaming software optimizations [75]. Also, sometimes application developers emulate caches in software because they cannot find any other way to exploit data locality [76].

Transactional memory is a promising approach for parallelization of applications with complex data structures because it simplifies accesses to shared data, avoiding locks and problems associated with them. However, transactional memory cannot solve all synchronization issues, for example, in the case of coordination or sequencing of independent tasks [35]. Also, it is not necessary for all applications, for example, applications that fit well into streaming category. For streaming applications, synchronization of shared data accesses is not the main issue and therefore transactional memory mechanisms would be simply unnecessary.

Finally, the multi-threaded programming model with shared memory is still dominant today especially in server-side application domain. The asynchronous nature of the multi-threaded model is good match for server applications that must handle multiple independent streams of requests [23].

All these considerations motivate the design of a *polymorphic*, *reconfigurable* chip multiprocessor architecture, called Smart Memories, which is described in this thesis. The design of the Smart Memories architecture is based on the observation that the various programming models differ only in the semantics of the memory system operation. For example, from the processor point of view, a store operation is the same in the case of cache coherent, streaming, or transactional memory system. However, from the memory system point of view, the store semantics are quite different.

Processor microarchitecture is very important for achieving high performance but it can vary significantly while memory system semantics and programming model can be similar. For example, the Stanford Imagine architecture consists of SIMD processing elements working in lockstep and controlled by the same VLIW instruction [12], while the IBM Cell has multiple processors executing independent instruction streams [16]. Yet both are stream architectures: both have software-managed on-chip memories and explicit communication between on-chip and off-chip memories performed by software-programmed DMA engines.

Thus, the focus of the Smart Memories architecture design is to develop a reconfigurable memory system that can work as a shared memory system with cache coherence, or as a streaming memory system, or as a transactional memory system. In addition, flexibility is useful for semantic extensions, e.g. we have implemented fast fine-grain synchronization operations in shared memory mode using the same resources of the reconfigurable memory system (Section 4.2). These operations are useful for optimization of applications with producer-consumer pattern. Also, flexibility of the memory system was used to simplify and optimize complex software runtime systems such as Stream Virtual Machine runtime (Section 5.3.1) or transactional runtime (Section 6.4). Finally, the Smart Memories memory system resources can be configured to match the requirements of a particular application, e.g. by increasing the size of the instruction cache (Section 4.3.2).

The key idea of the polymorphic chip multi-processor architecture is based on this observation: although the semantics of memory systems in different models varies, the fundamental hardware resources, such as on-chip data storage and interconnect, are very similar. Therefore, the Smart Memories memory system is coarse-grain reconfigurable: it consists of reconfigurable memory blocks and programmable protocol controllers, connected by flexible interconnect. The design of the Smart Memories architecture is described in the next chapter.

## **CHAPTER 3: SMART MEMORIES ARCHITECTURE**

The goal of the Smart Memories architecture was to create a memory system that was as programmable as the core processors, and could support a wide range of programming models. In particular we ensured that the three models mentioned in the previous chapter, cache coherent shared memory, streaming, and transactions could all be supported. This chapter describes how we accomplished the programmable memory system, and how the processors interacted with it. It begins by giving an overview of the architecture, introducing the main hierarchical blocks used in the design. The chapter then goes into more detail and describes the main building blocks used in the machine. Section 3.2 describes how we used the Tensilica processors are combined with flexible local memories to form a Tile, which is followed by a description of how four Tiles are grouped with a local memory controller/network interface unit to form a Quad. The final sections then explain how the Quads are connected together through an on-chip network, and to the memory controllers.

#### **3.1 OVERALL ARCHITECTURE**

Figure 3.1 shows a block diagram of the architecture, which consists of Tiles, each Tile has two Tensilica processors, several reconfigurable memory blocks, and a crossbar connecting them. Four adjacent Tiles form a Quad. Tiles in the Quad are connected to a shared local memory Protocol Controller. Quads are connected to each other and to the Memory Controllers using an on-chip interconnection network.

This modular, hierarchical structure of Smart Memories helps to accommodate VLSI physical constraints such as wiring delay. Quads are connected to each other and to offchip interfaces only through an on-chip network that can be designed to use regular,
structured wires. Regular wire layout results in predictable wire length and wellcontrolled electrical parameters that eliminate timing iterations and minimize cross-talk noise. This allows the use of high-performance circuits with reduced latency and increased bandwidth [77, 78]. Since there are no unstructured global wires spanning the whole chip, wire delay has a small effect on clock frequency.

The modular structure of the Smart Memories architecture makes system scaling simple: to increase the performance of the system the number of quads can be scaled up without changing the architecture. The bandwidth of the on-chip mesh-like network will also scale up as the number of quads increases.



Figure 3.1: Smart Memories Architecture

The memory system consists of three major reconfigurable blocks, highlighted in Figure 3.1: the Load/Store Unit, the Configurable Memory and the Protocol Controller. The memory interface in each Tile (Load/Store Unit) coordinates accesses from processor cores to local memories and allows reconfiguration of basic memory accesses. A basic operation, such as a Store instruction, can treat a memory word differently in transactional mode than in conventional cache coherent mode. The memory interface can also broadcast accesses to a set of local memory blocks. For example, when accessing a set-associative cache, the access request is concurrently sent to all the blocks forming the cache ways. Its operation is described in more detail in Section 3.4.1.

The next configurable block in the memory system is the array of memory blocks. Each memory block in a Tile is an array of data words, and associated metadata bits. It is these metadata bits that makes the memory system flexible. Metadata bits store the status of that data word and their state is considered in every memory access; an access to this word can be discarded based on the status of these bits. For example, when mats are configured as a cache, these bits are used to store the cache line state, and an access is discarded if the status indicates that cache line is invalid. The metadata bits are dual ported: they are updated atomically with each access to the data word. The update functions are set by the configuration. A built-in comparator and a set of pointers allow the mat to be used as tag storage (for cache) or as a FIFO. Mats are connected to each other through an inter-mat network that communicates control information when the mats are accessed as a group. While the hardware cost of reconfigurable memory blocks is high in our standard-cell prototype, a full custom design of such memory blocks can be quite efficient [40, 41].

The Protocol Controller is a reconfigurable control engine that can execute a sequence of basic memory system operations to support the memory mats. These operations include loading and storing data words (or cache lines) into mats, manipulating meta-data bits, tracking outstanding requests from each Tile, and broadcasting data or control information to Tiles within the Quad. The controller is connected to a network interface port and can send and receive requests to/from other Quads or Memory Controllers.

Mapping a programming model to the Smart Memories architecture requires configuration of Load/Store Unit, memory mats, Tile interconnect and Protocol Controller. For example, when implementing a shared-memory model, memory mats are configured as instruction and data caches, the Tile crossbar routes processor instruction fetches, loads, and stores to the appropriate memory mats, and the Protocol Controller acts as a cache coherence engine, which refills the caches and enforces coherence.

The remainder of this chapter describes the main units of the Smart Memories architecture: processor, memory mat, Tile, Quad, on-chip network, and Memory Controller.

#### **3.2 PROCESSOR**

The Tensilica processor [79, 80] was used for the Smart Memories processor. Tensilica's Xtensa Processor Generator automatically generates a synthesizable hardware description for the user customized processor configuration. The base Xtensa architecture is a 32-bit RISC instruction set architecture (ISA) with 24-bit instructions and a windowed general-purpose register file. Register windows have 16 register each. The total number of physical registers is 32 or 64.

The user can select pre-defined options such as a floating-point co-processor (FPU) and can define custom instruction set extensions using the *Tensilica Instruction Extension* language (TIE) [79, 80]. The TIE compiler generates a customized processor, taking care of low-level implementation details such as pipeline interlocks, operand bypass logic, and instruction encoding.

Using the TIE language designers can add registers, register files, and new instructions to improve performance of the most critical parts of the application. Multiple operation instruction formats can be defined using the *Flexible Length Instruction eXtension* (FLIX) feature to further improve performance [81]. Another feature of the TIE language is the ability to add user-defined processor interfaces such as simple input or output wires, queues with buffers, and lookup device ports [81]. These interfaces can be used to interconnect multiple processors or to connect a processor to other hardware units.

The base Xtensa ISA pipeline is either five or seven pipeline stages and has a user selectable memory access latency of one or two cycles. Two-cycle memory latency allows designers to achieve faster clock cycles or to relax timing constraints on memories

and wires. Although Tensilica provides many options for memory interfaces, these interfaces cannot be used directly to connect the Tensilica processor to the rest of the Smart Memories system, as explained further in the next subsection, which describes our approach for interfacing the processor and the issues associated with it.

## 3.2.1 INTERFACING THE TENSILICA PROCESSOR TO SMART MEMORIES

Connecting Tensilica's Xtensa processor to the reconfigurable memory system is complicated because Tensilica interfaces were not designed for Smart Memories' specific needs. Although the Xtensa processor has interfaces to implement instruction and data caches (Figure 3.2), these options do not support the functionality and flexibility necessary for the Smart Memories architecture. For example, Xtensa caches do not support cache coherence. Xtensa cache interfaces connect directly to SRAM arrays for cache tags and data, and the processor already contains all the logic required for cache management. As a result, it is impossible to modify the functionality of the Xtensa caches or to re-use the same SRAM arrays for different memory structures like local scratchpads.

In addition to simple load and store instructions, the Smart Memories architecture supports several other memory operations such as synchronized loads and stores. These memory operations can easily be added to the instruction set of the processor using the TIE language but it is impossible to extend Xtensa interfaces to natively support such instructions.



Figure 3.2: Xtensa Processor Interfaces

Instead of cache interfaces we decided to use instruction and data RAM interfaces as shown in Figure 3.3. In this, case instruction fetches, loads and stores are sent to interface logic (Load Store Unit) that converts them into actual control signals for memory blocks used in the current configuration. Special memory operations are sent to the interface logic through the TIE lookup port, which has the same latency as the memory interfaces. If the data for a processor access is ready in 2 cycles, the interface logic sends it to the appropriate processor pins. If the reply data is not ready due to cache miss, arbitration conflict or remote memory access, the interface logic stalls the processor clock until the data is ready.



Figure 3.3: Processor Interfaces to Smart Memories

The advantage of this approach is that the instruction and data RAM interfaces are very simple: they consist of enable, write enable/byte enables, address and write data outputs and return data input. The meaning of the TIE port pins is defined by instruction semantics described in TIE. Processor logic on the critical path is minimal. The interface logic is free to perform any transformations with the virtual address supplied by the processor.

## **3.2.2 Special Memory Access Instructions**

Several instructions were added to the Tensilica processor to exploit the functionality of the Smart Memories architecture. These instructions use the TIE lookup port to pass information from the processor to the memory system as described in the previous section, and they access the metadata bits associated with each memory word.

For example, *synchronized* instructions define one of the metadata bits to indicate whether the address is full (has new data) or empty. A synchronized load instruction stalls the processor if the *full/empty* (FE) bit associated with the data word is zero ("empty"). The processor is unstalled when the FE bit becomes one ("full"), and the synchronized load returns a 32-bit data word into the processor integer register similarly

to a conventional load instruction. The FE bit is atomically flipped back to zero during the synchronized load execution.

A synchronized store instruction stalls the processor until the FE bit associated with the data word is zero, and then it writes a 32-bit data word and flips FE bit to one.

A complete list of added memory instructions is in Appendix A.

## 3.2.3 PIPELINING ISSUES RELATED TO SPECIAL MEMORY INSTRUCTIONS

Adding special memory instructions to the architecture does add one complication. Special load instructions can modify metadata bits, i.e. they can alter the architectural state of the memory. Standard load instructions do not have side effects, i.e. they do not alter the architectural state of the memory system, and therefore they can be executed by the processor as many times as necessary. Loads might be reissued, for example, because of processor exceptions as shown in Figure 3.4: loads are issued to the memory system at the end of the E stage, load data is returned to the processor at the end of the M2 stage, while the processor commit point is in the W stage, i.e. all processor exceptions are resolved only in the W stage. Such resolution may ultimately result in re-execution of the load instruction. Stores, by contrast, are issued only in the W stage after the commit point.



Figure 3.4: Processor Pipeline

Since it would be very difficult to undo side effects of special memory operations, they are also issued after the commit point in W stage. The processor pipeline was extended by 2 stages (U1 and U2 in Figure 3.4) to have the same 2 cycle latency for special load instructions.

However, having different issue stages for different memory operations creates the memory ordering problem illustrated in Figure 3.5a. A load following a special load in the application code is seen by the memory system before the special load because it is issued in the E stage. To prevent such re-ordering, we added pipeline interlocks between special memory operations and ordinary loads and stores. An example of such an interlock is shown in Figure 3.5b. The load is stalled in the D stage for 4 cycles to make sure the memory system sees it 1 cycle after the previous special load. One extra empty cycle is added between 2 consecutive operations to simplify memory system logic for the case of synchronization stalls. This does not degrade performance significantly because special loads are not executed as often as standard loads and the compiler can schedule instructions to minimize the impact of extra pipeline interlocks.



Figure 3.5: Memory operation pipeline: a) without interlocks; b) with interlocks

# 3.2.4 PRE-DEFINED OPTIONS AND VLIW PROCESSOR EXTENSIONS

To increase the computational capabilities and usability of the Smart Memories architecture, the following pre-defined Tensilica processor options were selected:

- 32-bit integer multiplier;
- 32-bit integer divider;
- 32-bit floating point unit;
- 64-bit floating point accelerator;
- 4 scratch registers;
- On-Chip Debug (OCD) via JTAG interface;
- instruction trace port;
- variable 16/24/64-bit instruction formats for code density and FLIX/VLIW extension.

To further improve performance of the processor and utilization of the memory system, we added two multi-instruction formats using FLIX/VLIW capability of Tensilica system:

- $\{ANY; INT; FP\};$
- {ANY; INT; LIMITED INT};

where ANY means any type instruction, INT means integer instruction type (excluding memory operations), FP means floating-point instruction type, LIMITED INT means a small subset of integer instructions which requires at most 1 read and 1 write port.

The reason for this choice of instruction formats is the limitation of the Xtensa processor generator: register file ports cannot be shared among different slots of FLIX/VLIW format. For example, the FP multiply-add instruction requires 3 read and 1 write ports. If such an operation could be present in 2 different slots, then the FP register file would need to have at least 6 read and 2 write ports, even if 2 such operations are never put in the same instruction. On the other hand, memory operations can only be allocated in slot 0 (ANY) and the common usage case is to have a memory operation and a compute operation such as multiply-add in the same instruction. This means that it should be possible to have FP operations in slots other than 0 but the number of such slots should be minimal.

## 3.3 MEMORY MAT

A memory mat is the basic memory unit in the Smart Memories architecture. In addition to storage, it can also perform simple logical operations on some of the stored bits. Depending on the configuration, a memory mat can be used as simple local memory, as a hardware FIFO, or as part of a cache for storing either tag or data. Each Tile has 16 memory mats, which are connected to processors and the outside world by a crossbar.

Figure 3.6 shows the internal structure of a memory mat. The main part is the data array (or data core), which consists of 1024 32-bit words. A 4-bit mask input allows each byte within the 32-word to be written independently. In addition to simple reads and writes, the memory mat can also do compare operations on the accessed word using a 32-bit comparator, which compares contents of the word with the data from the Data In input and generates a *Data Match* output signal. The Data Match signal is sent out to the processors over the crossbar as well as being passed to metadata array logic.



Figure 3.6: Memory mat

Write operations in the data array can be *guarded* or *conditional*. Such operations are used for caches. For these operations, the data array receives two additional control bits,

Guard and Condition, and can decide to discard a write operation if either of the Guard or Condition signals is not active. The Guard signal can be configured to be any function of the IMCN\_in inputs (which are described in the next section), while Condition can be any function of the 6 control bits within the metadata array. For example the data storage can discard a cache write operation if the tag mat reports a cache miss via the IMCN and Guard signal.

The metadata array (or control core) is a 1024 entry 6-bit wide array (Figure 3.6). These 6 bits are called metadata bits or control bits associated with each 32-bit data word. The metadata array is dual-ported: it can do a read and a write operation in the same cycle. Thus, in addition to ordinary reads and writes, the metadata array can do *atomic read-modify-write* operations on the control bits. A small programmable logic array (PLA) block is used to perform the logic functions for read-modify-write operations. The read address of the metadata array is the same as the mat input address. The write address can either be the current input address or the address from the previous cycle when doing a read-modify-write update. An internal forwarding logic bypasses write values to the read port if a subsequent read operation goes to the same address that was written in the previous cycle.

Similarly, metadata array operations can be *guarded* or *conditional*. The metadata array can also perform a compare operation between stored meta-data and the *Control In* input to generate a *Total Match* output signal. A 7-bit mask is used to determine which metadata bits participate in the comparison and which are ignored. An extra MSB mask bit is used to determine whether the metadata comparison result should be ANDed with *Data Match*.

In addition to simple operations, the metadata array can perform column-wise *gang write* operations on bits 0-2: a whole column can be set to one or zero in a single-cycle operation. Also, one column of the array (bit 2) can do a *conditional gang write* operation: bit 2 in each entry of the array can be written with one or zero, if bit 1 of the

same entry is set to one. These operations are used for transactional caches: all transactional state can be flushed away in a single cycle (Section 6.2).

Each memory mat is equipped with a pair of pointers that allow it to be used as a hardware FIFO (Figure 3.6). A FIFO select input determines whether the mat should use the externally supplied "*Address In*" signal or use internal pointers to generate an address for data and metadata arrays. These pointers are automatically incremented after each access: read and compare operations increment the head pointer, while write operations increment the tail pointer. Increment of the tail pointer can be guarded the same way that a write operation is guarded: if the guard signal is not active, the pointer will not be incremented. An example usage of a guarded increment is described in Section 6.2, which explains the operation of a transactional cache.

The depth of the FIFO can also be controlled via a configuration register. Whenever the size of the FIFO reaches the value of the depth register and another write to the FIFO is attempted, the write operation is ignored and a FIFO Error output signal is asserted. The same situation happens if a user tries to read an empty FIFO. Also, there is another configuration register called the *threshold* register. When the size of the FIFO reaches the threshold value, a separate *FIFO Full* output signal is asserted to let the requestor module know that the FIFO is almost full.

## **3.4 TILE**

The Smart Memories Tile unit, shown in Figure 3.7, consists of two Tensilica processors, 16 memory mats, a crossbar and interface logic unit (LSU) connecting processor and memory mats, tile configuration register unit, Statistics and Debugging unit (SDU), and two JTAG test access port (TAP) units. Memory mats are also interconnected through an *inter-mat communication network* (IMCN), which can be configured to exchange between mats a few bits of control information such as a tag comparison result.



Figure 3.7: Tile

#### 3.4.1 INTERFACE LOGIC

The interface logic (LSU) translates each processor memory request into a set of memory mat operations executed in parallel. The virtual address issued by the processor is translated into a physical address by a segment table. The segment table has four 256 MB segments for instruction space (0x40000000-0x7FFFFFFF address range) and eight 256 MB segments for data space (0x80000000-0xFFFFFFFF address range). Segment information is stored in the configuration registers. In addition to address translation, segment settings also specify read/write permissions and what type of memory access the processor request should be converted to. If a processor request violates segment permissions, then the LSU raises a *fatal non-maskable interrupt* (NMI).

Depending on the segment, a processor memory operation can go to conventional data or instruction cache, transactional cache, on-tile local memory, off-tile local memory, or offchip DRAM. For conventional cache access the LSU generates data and tag mat operations according to the cache configuration and sends them to the crossbar. Since a cache can be set-associative, the same operation might need to be sent to more than one memory mat. To handle this case the LSU generates a memory mat mask that tells the crossbar which mats should receive the operation.

Tag mats perform cache line address and state comparison using the built-in comparator described in Section 3.3. The hit/miss result of the comparison (*Total Match* signal) is sent to the appropriate data mats via the IMCN according to the cache configuration. The data mats use this IMCN signal as a "Guard" for write operations. The same IMCN signals are used to update *most-recently-used* (MRU) metadata bits in all tag mats. MRU bits are used by the Quad Protocol Controller to implement a *not-most-recently-used* cache line replacement policy.

*Total Match* signals are also sent via crossbar back to the LSU. The crossbar aggregates *Total Match* signals from all tag mats and sends the result as a Hit/Miss signal back to the

LSU. Also, the crossbar uses *Total Match* signals to route the result of the read operation from the appropriate data mat back to the LSU.

In the case of a cached load hit the LSU returns the data to the processor port. In the case of a cache miss the LSU turns off the requesting processor clock and generates a cache miss message which is placed into the queue to the Quad Protocol Controller port. The LSU has 4 separate queues for Protocol Controller messages, one per processor port. Each queue has 4 entries that buffer outstanding cache miss requests before they are accepted by the Protocol Controller for processing. Thus, the LSU does not need to stall the processor because of store misses, which can be *non-blocking*. Strict order between cache miss requests in the same queue is enforced by the LSU logic to guarantee *program order* of memory operations. The address of a later memory operation issued by the same processor is compared to cache miss requests in the queue. If the address matches, then the memory operation is sent directly to the queue, skipping the memory mat access.

Every clock cycle the LSU performs arbitration among non-empty queues and sends one request to the Quad Protocol Controller. The Protocol Controller arbitrates between the four Tiles and starts processing 1 cache miss request per cycle. If the request does not conflict with other requests currently being processed, then the Protocol Controller sends an acknowledgement to the Tile LSU, which frees the corresponding entry in the queue. Otherwise, the request is not acknowledged and blocks the queue.

When the request is processed, the Protocol Controller sends a reply to the LSU. The reply for a load or instruction fetch miss contains data that the LSU sends to the processor port. Replies for store misses are used by the LSU to keep track of outstanding memory operations. If stores are configured to be blocking, then the LSU stalls the processor on a store cache miss, and unstalls it only after receiving a reply from the Protocol Controller. If stores are configured to be non-blocking, then the LSU uses a store miss counter to

keep track of outstanding stores. This is necessary to support *memory barrier* operations, which stall the processor until all outstanding memory operations are complete.

Memory mats in the Tile can also be used as local, directly addressable memories (Section 2.3.2). In such a case the memory segment that corresponds to the local memory is configured to be *uncached on-tile*. The LSU generates a single memory mat access for load or store to the local memory mat. Similarly, a segment can be *uncached off-tile*, i.e. mapped to the local memory mats in another Tile or Quad. For memory operations to such segments the LSU sends a message directly to the Protocol Controller. Also, an uncached segment can be mapped to off-chip DRAM.

The LSU also supports FIFO load and store processor operations (Appendix A). FIFO operations can be routed to an on-tile mat or to a mat in another Tile or Quad. Since a FIFO operation can fail because of FIFO *overflow* or *underflow*, the LSU saves the FIFO Error signal in a 32-bit shift register that is accessible by the processor. This allows the processor to issue non-blocking back-to-back FIFO stores and to check for success up to 32 operations later.

In addition to ordinary loads and stores the processor can issue special memory operations such as *synchronized loads and stores* (Section 3.2.2). Synchronized loads and stores might stall the processor depending on the state of the metadata bits associated with the data word. For such operations the LSU checks the value of the metadata returned from the cache data mat or uncached memory mat and, if necessary, stalls the processor and sends a *sync miss message* to the Protocol Controller just as in the case of a cache miss.

The LSU also handles processor interrupts, which can be initiated by the Protocol Controller, Statistics and Debugging unit (SDU), or by a write into a special configuration register either by software or JTAG. Processor interrupts can be *soft*, i.e. the LSU waits while the processor is stalled because of outstanding memory operations

and asserts processor interrupt pins. After a soft interrupt is handled, execution of an application can be restarted transparently. If a *hard* interrupt is requested, the processor is unstalled by the LSU even if there are outstanding memory operations. Only the Quad Protocol Controller can initiate hard interrupts, because the Protocol Controller must then cancel all outstanding cache and sync miss requests. Hard interrupts are *not restartable*, i.e. execution of the application can not be restarted because there is no guarantee that the application state is restored after return from the interrupt. Hard interrupts are required to handle such events as *transaction violation* (Section 6.2).

## 3.4.2 Crossbar

The Tile crossbar routes mat accesses from the LSU and Protocol Controller ports (Figure 3.7) to the memory mats. It also performs *arbitration* between two processors and the Protocol Controller every cycle if there are conflicting accesses. If the processor loses arbitration, then the LSU stalls the processor clock just like in the case of a cache miss and re-tries the operation in the next cycle. The Protocol Controller has priority over processors because it is simpler to stall the processor clock rather than to stall the Protocol Controller pipeline. To simplify and to speed up arbitration, the crossbar does not arbitrate between ports of the same processor. Some special memory instructions, which read and write configuration state (Appendix A), can access any memory mat, skipping segment table translation and permission checks. To avoid potential crossbar conflicts and to simplify logic, whenever the LSU receives such an operation request from the processor it asserts the *IRamBusy* input of the processor without stalling the processor clock. This signal tells the processor that the instruction fetch was not successful and should be re-tried in the next cycle.

Reads and writes to Tile configuration registers are also routed by the crossbar just like memory mat accesses.

#### 3.4.3 STATISTICS AND DEBUGGING UNIT

The Statistics and Debugging unit (SDU) counts different types of events such as the number of certain types of operations (instruction fetches, loads, stores, etc.), number of cache or sync misses, number of cycles between events, etc. The SDU can generate a latency histogram for certain types of memory operations. Histogram state is stored in one or two memory mats that can be dedicated for statistics. Similarly, the SDU can be programmed to generate profile counts for certain types of events using one or two memory mats as storage. Profile binning is determined by the bits of the processor *program counter* (PC) or memory operations address, or by a combination of the two. Also, the SDU can store a compressed processor instruction trace from the processor trace port into the memory mats. To access memory mats the SDU has a dedicated crossbar port.

The Tile configuration unit contains all SDU configuration state that is accessible to software or through JTAG. Software running on the processor can start, stop and reset statistics counters using raw loads and stores. Another way to control the SDU is to use the *spec\_cmd* instruction (Appendix A). The *spec\_cmd* instruction is ignored by the LSU, however, the SDU can be configured to start or to stop a counter whenever the processor executes such an instruction. This instruction has very low overhead because it doesn't have any register operands and does not require any extra instructions to load register values. It is used by transactional runtime to produce detailed execution cycle statistics (Section 6.4).

The SDU can be programmed to generate a processor interrupt under certain conditions, for example, when a counter overflows or reaches a programmed value. The SDU sends an interrupt signal to the LSU, which asserts processor interrupt pins. This feature can be used to handle counter overflow in software, to do sampling profiling, or for debugging purposes, e.g. to interrupt a processor when there is a cache miss to a certain address.

The SDU can signal the LSU to stall the processor when the SDU needs extra cycles to handle an event, e.g. when the profiling counter in the memory mat is incremented.

The Statistics and Debugging unit consists of:

- eight 64-bit event counters;
- 2 profiling counters;
- latency histogram block;
- processor trace block;
- shared comparator block:
  - 8 event comparators;
  - 6 program counter comparators;
  - 4 address range comparators.

Each Tile has two *Test Access Port* (TAP) units connected to the processors. TAP units are used for *on-chip debug* (OCD) functionality [82]. OCD allows a GDB debugger running on a host PC to connect to the Tensilica processor via a JTAG port. Through OCD, GDB can set a debug breakpoint or watchpoint, single step program execution, execute a random instruction, or access processor registers.

# 3.4.4 TILE TIMING

Tile timing is determined by very tight timing constraints on the processor clock signal as shown in Figure 3.8. The forward path for the memory operation data issued by the processor goes through the flop in the interface logic and then through the flop in the memory mat. In the reverse path, the output of the memory mat goes to the stall logic and determines whether the processor clock should be stalled or not. To avoid glitches on the processor clock, the output of the stall logic must go through a flop or latch clocked with an inverted clock. The whole reverse path including memory mat, crossbar and stall logic

delays must fit in a half clock cycle. This half cycle path is the most critical in the design and determines Tile clock cycle time.



Figure 3.8: Tile pipeline

To relax timing constraints, the processor is clocked with an inverted clock: the delay of the reverse path in Figure 3.8 must fit within the whole clock cycle, rather than just the half cycle.

# 3.4.5 TILE RECONFIGURABILITY OVERHEAD

It is impossible to calculate area breakdown for a Tile after layout generation (Figure 3.9) because placement and routing are performed on a flattened Tile netlist. Instead, Table

3.1 shows Tile area breakdown after logic synthesis but before placement and routing. An upper bound on reconfigurability overhead can be estimated by calculating the minimal equivalent area of a fixed (non-reconfigurable) chip with the same computational capabilities and the same amount of local memory: 2 processors, 32 memory macros, and statistics and debug unit. The area of such an equivalent configuration would be 1.8 mm<sup>2</sup> + 1.4 mm<sup>2</sup> + 0.24 mm<sup>2</sup> = 3.44 mm<sup>2</sup> or 49% (Table 3.1). However, even a fixed configuration would need interface logic and wire buffers to connect processors to memories and to the Protocol Controller, which is likely to take at least several percent of the area. Therefore, reconfigurability overhead is less than 50%.



Figure 3.9: Tile layout after place&route

Table 3.1 shows that the largest component of the overhead is the logic and registers in the Memory Mats:  $2.6 \text{ mm}^2$  or 37% of the Tile area. This is because half of the metadata

bits in the Memory Mats are implemented using flip-flops instead of more area efficient memory macros. The reason for this is the required flexibility of metadata storage: to support TCC mode, metadata bits must be gang writable (Section 6.2). This metadata storage had to be implemented only using standard cells in ASIC design flow. However, a full custom design can significantly reduce this overhead [40, 41].

Module	Area,	%	Logic,	Registers,	Memory
	mm <sup>2</sup>		mm <sup>2</sup>	mm <sup>2</sup>	macros, mm <sup>2</sup>
Tile	7.022	100.0	0.025	0.010	0.000
Protocol Controller interface	0.027	0.4	0.027	0.000	0.000
LSU	0.349	5.0	0.213	0.136	0.000
Memory Mats	4.045	46.9	1.397	1.233	1.414
Processor 0	0.899	12.8	0.639	0.260	0.000
Processor 1	0.911	13.0	0.651	0.260	0.000
Stats-Debug Unit	0.243	3.5	0.141	0.102	0.000
Configuration Registers	0.245	3.5	0.143	0.102	0.000
Crossbar	0.248	3.5	0.239	0.008	0.000

Table 3.1: Tile area breakdown after logic synthesis

## 3.5 QUAD

A Smart Memories Quad consists of four Tiles and a shared configurable Protocol Controller as shown in Figure 3.10. The Protocol Controller is connected to the Tile crossbars and interface logic units (LSU). The Protocol Controller implements basic cache functionality such as cache line refill and eviction as well as cache coherence functions: cache-to-cache transfers within a Quad and off-Quad cache snoops.



Figure 3.10: Quad

One of the key decisions in the Smart Memories architecture design was to share the Protocol Controller among four adjacent Tiles because of the following reasons:

- Sharing the Protocol Controller reduces overheads of reconfigurability, which is significant because the Protocol Controller is designed to implement different memory protocols. This was especially important in the initial phases of the design when the cost of reconfiguration was hard to estimate.
- Sharing reduces the cost of the network interface/router and reduces the number of network hops required to cross the chip.

- A single shared Protocol Controller simplifies intra-quad cache coherence implementation because outstanding cache misses requests are tracked in a single centralized hardware structure. There is no need for a coherent bus between Tiles.

## **3.5.1 PROTOCOL CONTROLLER**

Instead of dedicated hardware for a specific memory protocol, the Protocol Controller implements a set of primitive operations that can be combined and sequenced by a configuration memory. A request from a Tile is translated into a sequence of primitives specific to a given protocol.

These primitive operations are divided into four main categories:

- Tracking and serialization: in some memory protocols such as cache coherence, memory requests issued to same addresses have to be serialized to satisfy protocol requirements. The Protocol Controller performs request serialization because all memory requests from all Tiles go through it. In addition, the Protocol Controller keeps track of all outstanding memory requests from all processors in the Quad and can merge several requests if possible.
- Control state checks and updates: for a protocol such as cache coherence, the control state associated with the data needs to be read and updated according to the protocol state transition rules. The Protocol Controller can access all four Tiles in the Quad and can use metadata from them to determine how to process memory request.
- *Data movements*: data transfers between any two mats or two sets of mats regardless of their location, and transfers over the network interface to other Quads or to off-chip memory controllers.
- *Communication*: the Protocol Controller has interfaces to Tile LSUs and to other Quads and memory controllers in the system.



Figure 3.11: Protocol Controller block diagram

As shown in Figure 3.11 several blocks of the Protocol Controller directly correspond to the above classes of primitive operations: tracking unit (T-Unit), state update unit (S-Unit), data movement unit (D-Unit), LSU and network interface units. There are also special storage structures: *miss status holding registers* (MSHR) and similar registers for uncached off-tile requests (USHR), and *line buffers* which are used as temporary storage for data. In addition to these major functional units, the Protocol Controller has a few extra units which are used for special operations: an interrupt unit, a configuration and statistics unit, and a set of eight *direct memory access* (DMA) channels.

The Processor Interface unit connects to the Tile's LSU units. Each cycle it arbitrates between Tiles and selects 1 cache miss request and 1 uncached memory request, both of which are passed to the T-Unit. Requests from the head of each LSU queue are buffered internally inside the Processor Interface to avoid resending the same request from the LSU to the Protocol Controller.

The T-Unit acts as the entry point to the Protocol Controller execution core: all request/reply messages from processors, network interface and internal DMA channels must go through the T-Unit. For each request, an entry in the appropriate tracking structure is allocated and the request information is recorded, and then passed to the next unit.

MSHRs are used to store processor cache miss information as well as coherence snoop requests from Memory Controllers. The MSHR block has an associative lookup port that allows the T-Unit to check conflicts between new cache misses and already outstanding ones and enables optimizations such as *request merging*. USHRs are separate but similar structures used to store information about a processor's direct memory requests for any locations outside of its own Tile. It also keeps information about outstanding DMA transfers generated by DMA engines.

If no conflict with an already outstanding request is detected, the request is written into a free MSHR or USHR during the next pipeline stage after associative lookup. If a request cannot be accepted due to conflict or because the MSHR structure is full, the T-Unit returns a *negative acknowledgement* (NACK) and the sending party must retry the request later. If a reply for an outstanding request is received, the T-Unit reads information for the request from an MSHR or a USHR and passes it to the next Protocol Controller unit to complete processing.

The S-Unit reads and updates metadata state associated with the data, such as cache line state, cache tags, etc. Its pipeline has four stages and a small output queue at the end. A round robin arbiter at the input selects the next request to be processed by S-Unit.

The *Access Generator* block in the first stage of the pipeline generates all necessary signals for memory mat access. It can generate two independent accesses to memory mats and can access either a single Tile or all Tiles simultaneously; for example, when a cache miss request is processed, the S-Unit can evict a line from the cache of the requesting

processor and simultaneously update the state of the cache line in other Tiles to enforce cache coherence. Generated mat accesses are flopped and sent to memory mats in the second stage. All the necessary control signals for memory mat access, i.e. data opcode, metadata opcode, PLA opcode, masks, etc., are stored in a microcode memory inside the access generator block, which is indexed by the type of the input request to the S-Unit, and hence can be adjusted according to the memory protocol.

The *Decision Block* at the last stage of the pipeline receives the value of all metadata bits read from memory mats as well as the *Total Match* and *Data Match* signals and determines the next step in processing the request. For example, when serving a cache miss request, if a copy of the line is found in another cache, a cache-to-cache transfer request is sent to D-Unit. Or if the evicted cache turns out to be modified, a write back request is generated. The decision is made by a *ternary content-addressable memory* (TCAM) which matches collected state information to one of several predefined patterns and generates up to 3 operations for the next processing step and identifies which Protocol Controller units should perform them. The data and mask bits inside the TCAM can be programmed according to the protocol.

A small output queue buffers requests before sending them to other units. The size of this buffer is large enough to drain the S-Unit pipeline, so as to avoid pipeline stalls when a memory mat access is in flight. The arbiter logic in front of the S-Unit pipeline always checks the availability of buffer space in the output queue and does not accept new requests if there is not enough free entries in the queue.

The D-Unit (Figure 3.12) also has an arbiter at the input that decides which request should be accepted. The *Dispatch Block* determines which Tiles should be accessed as part of request processing. Four Data Pipes associated with the four Tiles receive requests from their input queues and send the results to their output queues. A small finite state machine generates replies for processors.



Figure 3.12: D-Unit

For a simple cache refill the D-Unit just writes data to memory mats of one Tile. For a more complex cache-to-cache transfer the D-Unit reads the data from the source cache first and then writes it to the destination cache with appropriate read/write scheduling. The Dispatch Block uses an internal TCAM to determine the type and schedule of appropriate data read/writes for each Data Pipe and then places them into input queues. It also initiates the processor reply FSM when needed.

The Data Pipe (Figure 3.12) has a port to memory mats in the associated Tile as well as a read and write port to the line buffer. The Access Generator in the first stage generates necessary control signals to read/write memory mats and the line buffer. Similarly to the S-Unit, all necessary signals are extracted from microcode memory within the Access Generator and can be changed to implement any type of access. The condition check block at the last stage receives the metadata bits associated with the each of the accessed words and can match them with a predefined bit pattern. This allows the Data Pipe to generate the request for the next unit according to the extracted bit pattern. For example,

one of the metadata bits in the mat is used as a *Full/Empty* (FE) bit for fine grain synchronization operations (Section 4.2). The Data Pipe decides whether to reply to the processor or to send a synchronization miss message to the Memory Controller depending on the status of the FE bit.

Like the S-Unit, the D-Unit has a shallow output queue that ensures that all the operations in the Data Pipe can be drained such that a memory mat access need never be stalled in flight.

Line buffers are a multi-port/multi-bank storage structure for data associated with requests in flight. Line buffer storage is augmented with *per-byte valid bits*, which allow merging of data from multiple sources. For example, store miss data is written into the line buffer immediately after the request is accepted by the Protocol Controller for processing and the corresponding valid bit or bits are set. This data is later merged with fetched cache line data before the line is refilled into the requestor's cache. Multiple store misses to the same cache line can be merged in the line buffer even if these misses originate from different processors. A second set of byte valid bits is required for transaction *commit* as described in more detail in Section 6.2.

The Network Interface unit consists of separate receiver and transmitter blocks that operate independently. A priority queue stores the requests for outbound transmissions until the transmitter is ready to send. Transmitter logic composes packet headers based on the request type and attaches the data to the header. In case of long packets, data is read from the line buffer and immediately placed in the outgoing queue.

The priority queue in front of the transmitter receives a *virtual channel* number along with the packet request. Virtual channels might have ordering priorities, which are required by certain memory protocols to avoid message re-ordering. The transmitter queue takes into account virtual channel priority when the next message is selected for transmission, hence the name *priority queue*. The priority queue is sized such that it can

absorb and store all active requests within the execution core. This guarantees that all active requests in the Protocol Controller that need to send out a packet can be safely drained into the queue even when the outgoing link is blocked (due to back pressure, for example). This is necessary to guarantee *deadlock-free* operation of the Protocol Controller when it processes multiple requests simultaneously.

The network receiver block has eight buffers for different virtual channels. A decoder detects the virtual channel of the received network data and places it in the appropriate virtual channel buffer. After arbitration, the header of the selected packet is decoded and a request is generated for an execution core based on the packet type. In the case of long packets, data words are first written into the line buffer before the request is passed to the execution core.

In addition to the execution part, the Protocol Controller has eight DMA channels, which generate memory transfer requests that enter the execution part via the T-Unit. Channels can do continuous copy, as well as *strided* and *indexed gather/scatter* operations. Each DMA channel is a micro-coded engine that generates requests according to the loaded microcode. This makes the DMA engine a very flexible request generator that can be used by many applications. For example, after completion of a transfer, DMA channels can generate interrupt requests for processors that are waiting for the transfer, or release a lock variable on which processors are waiting. Another example is the use of DMA channels to commit the speculative modifications of transactions (Section 6.2).

An Interrupt Unit (INT-Unit) connects the Protocol Controller to the interrupt interface of each processor. A write into one of eight special registers inside this unit will generate an interrupt for the corresponding processor. In addition, the INT-Unit implements a small state machine to handle *hard interrupts* (Section 3.4.1, Section 6.2). This state machine sends a cancel request to the execution part of the Protocol Controller to ensure that there are no outstanding synchronization misses from a processor before processor interrupt is raised and interrupt handler is executed.

## 3.5.2 EXAMPLE OF PROTOCOL CONTROLLER OPERATION

Figure 3.13 shows an example of a Protocol Controller operation. In this case the Protocol Controller receives a cache read miss request. The requested cache line is found in another Tile in the same Quad, and the cache line to be evicted from the requestor's cache is not modified and therefore doesn't need to be written back. In the first step, the Processor Interface receives the cache miss request and sends it to the T-Unit. After allocating an MSHR and saving the request information, the T-Unit sends an S-Read miss request to the S-Unit. The S-Unit searches the Tile caches, ensures that the requesting cache is not already refilled (by doing a probe access) and issues a transfer request to the D-Unit. The D-Unit moves the cache line from the source Tile to the destination Tile, sends a reply to the processor and a tag write command to the S-Unit to write new tags and cache line state in the requesting cache.



Figure 3.13: Example of cache miss processing

#### 3.5.3 RELATED WORK AND DISCUSSION OF PROTOCOL CONTROLLER DESIGN

The Quad Protocol Controller is a flexible controller that performs most of the control functions inside the Quad: memory request tracking, serialization, merging, protocol state sequencing, and communication over the interconnection network. In some ways it is similar to the flexible memory controller implemented by the MAGIC chip in the Stanford FLASH system. It too was designed to implement a variety of cache coherence

protocols as well as to support message passing protocols [83-85]. The MAGIC chip had many similar hardware features: line buffers to store data, lookup tables to track outstanding requests, additional control state associated with the data, and processor, network and memory interfaces. In addition, MAGIC used a programmable processor that executes *message handlers* to implement the logic of a particular cache coherence protocol.

The main difference between MAGIC and the Smart Memories Protocol Controller is the latency and message throughput that the designs can support. Since the Protocol Controller is responsible for managing first level caches which are very latency sensitive it is designed as a set of programmable finite state machines or pipelines that can often initiate a new operation each cycle. In contrast, MAGIC is a separate chip and receives cache miss requests from the processor's off-chip bus, which makes the latency of request processing less critical. This difference allows the Protocol Controller to be shared among eight processors in a chip multi-processor while MAGIC is dedicated only for one processor. As shown in [84] the occupancy of the MAGIC chip can be quite high even though it processes requests for only one processor. Finally, combined with the meta-data storage, the Protocol Controller provides significantly more flexibility in memory system functionality allowing it to support such different programming and memory models as shared memory, streaming and transactions.

# **3.6 ON-CHIP NETWORK**

Because the Smart Memories architecture is designed to be scalable to a large number of processors on a chip, it uses *network-on-chip* (NoC) to interconnect multiple Quads and Memory Controllers. NoC is a promising approach for scalable on-chip interconnect [77]. This section describes the general interface from Smart Memories units to the NoC and high-level requirements imposed by the architecture and memory protocols on the interconnection network such as *packet ordering* and *virtual channel priority*. The Smart Memories architecture does not specify other network parameters such as *topology* and

*routing* because it does not depend on these implementation parameters as long as higher-level requirements are satisfied.

In Smart Memories, network packets are divided into smaller sub-blocks called *flits* (flow control digit) where each flit is 78-bit wide. There are three categories of packets: *single-flit, two-flit* and *multi-flit*. Correspondingly, there are five types of flits: *Head, Tail, Body, Head\_Tail,* and *Null.* Null flit type means that no packet/data is transmitted over the interface. Each flit carries a 3-bit flit type and a 3-bit virtual channel number in addition to the payload. Figure 3.14 shows the possible formats of the flit payloads.



Figure 3.14: Flit Payload Formats

Packet exchange over the network is controlled by an *explicit credit-based flow control* mechanism; after each flit is consumed by the upstream network interface, an explicit credit is sent back to the downstream network interface. Whenever the available credit is lower than the length of the packet to be sent, the packet is stalled and the interface waits for more credits before it can transmit again.

The Smart Memories network supports eight independent *virtual channels*. These virtual channels are necessary for correct, *deadlock-free* implementation of memory protocols, not because of network implementation requirements such as *deadlock avoidance* at the

routing level or network *quality-of-service* (QoS). Virtual channel assignment for different requests/replies over the network can be configured within the Quads and Memory Controllers. Messages or packets traveling on the same virtual channel must be *ordered*. Virtual channels support a flexible priority scheme: for each virtual channel, an 8-bit mask indicates which other virtual channels can block it. For example, setting this mask to 8'b0000\_0011 for virtual channel two indicates that it can be blocked by virtual channels zero and one, or in other words, virtual channels zero and one have priority over virtual channel two. Of course, a virtual channel should not block itself. Also, because packets on the same virtual channel must be *ordered*, i.e. virtual channels can not be routed on different physical wires. Table 3.2 shows the assignment of request/replies to virtual channels and their priorities used in the Smart Memories architecture.

VC	Message Types	Blocked by
VC0	Reserved	
VC1	Coherence replies, cache refills, writebacks, DMA (Gather/Scatter) replies, wake up notifications, replies to off-Tile memory accesses, sync misses, cancel replies	
VC2	Coherence requests, cache misses, DMA (Gather/Scatter) requests, off-tile memory accesses	VC1
VC3	Quad-to-Memory Controller sync cancel	VC1
VC4	24 Quad-to-Quad sync cancel requests	
VC5	Unused	
VC6	Unused	
VC 7	Unused	

Table 3.2: Virtual channel assignment

The Smart Memories network provides some basic facilities for *broadcast* and *multicast*. For example, for canceling an outstanding synchronization operation, a Quad broadcasts the cancel message to all Memory Controllers. To enforce coherence, a Memory Controller sends a coherence message to all Quads except the one originating the cache miss. The broadcast/multicast features of the network allow network interfaces to send out a single request rather than generating separate requests for all desired destinations.

## **3.7 MEMORY CONTROLLER**

The Memory Controller is an access point for the off-chip DRAM memory and it also implements some functionality for memory protocols, for example, for cache coherence between different Quads.

The Memory Controller communicates with Quads via an on-chip network. A Smart Memories system can have more than one Memory Controller. In this case, each Memory Controller handles a separate bank of off-chip memory and memory addresses are interleaved among the Memory Controllers.

Since all Quads send requests to a Memory Controller, it serves as a serialization point among them, which is necessary for correct implementation of memory protocols such as coherence. Similar to a Quad's Protocol Controller, the Memory Controller supports a set of basic operations and it implements protocols via combinations of these operations.

The architecture of the Memory controller is shown in Figure 3.15. C-Req and C-Rep (cached request and reply) units are dedicated to cache misses and coherence operations. The U-Req/Rep unit handles DMA operations and uncached accesses to off-chip memory. The Sync Unit stores *synchronization misses* and *replays* synchronization operations whenever a *wakeup* notification is received.


Figure 3.15: Memory Controller

The C-Req and C-Rep units integrate the cache miss and coherence request tracking and serialization, state monitoring and necessary data movements required for handling cache miss operations in one place. In general, memory accesses that require coordination between Quads, such as cache misses in a cache coherent system or commit of transaction modifications in a transactional memory system, are handled by these two units.

The network interface delivers Quad requests to the C-Req unit and Quad replies to the C-Rep unit. Quad requests start their processing at the C-Req unit. Similarly to the

Protocol Controller, each incoming request is first checked against outstanding requests and is accepted only if there is no conflict. Outstanding request information is stored in the Miss Status Holding Register (MSHR) structure, which has an associative lookup port to perform an address conflict check. If no serialization is required and there is no conflicting request already outstanding, an incoming request is accepted by C-Req and is placed in the MSHR. In case of a collision, a request is placed in the Wait Queue structure and is considered again when the colliding request in the MSHR completes.

When a memory request requires state information from other Quads or the state information in other Quads has to be updated, the C-Req unit sends the appropriate requests to other Quads via the network interface. For example without a directory structure in the memory, in the case of a cache miss request, caches of other Quads have to be searched to see if there is a modified copy of the cache line. Similarly, during commit of the speculative transaction modifications, the data has to be broadcast to all other running transactions. The network interface has the basic capability of broadcasting or multicasting packets to multiple receivers and is discussed in Section 3.6. The C-Req unit also communicates with the memory interface to initiate memory read/write operations when necessary.

The C-Rep unit collects replies from Quads and updates the MSHR structure accordingly. Replies from Quads might bring back memory blocks (e.g. cache lines) and are placed in the line buffers associated with each MSHR entry. After the last reply is received, and based on the collected state information, C-Rep decides how to proceed. In cases where a memory block has to be returned to the requesting Quad (e.g., for a cache miss request), it also decides whether to send the memory block received from main memory or the one received from other Quads.

The U-Req/Rep unit handles direct accesses to main memory. It can perform single word read/write operation on the memory (un-cached memory accesses from processors) or block read/writes (DMA accesses from DMA channels). It has an interface to the

Memory Queue structure and places memory read/write operations in the queue after it receives them from the network interface. After completion of the memory operation, it asks the network interface to send back replies to the requesting Quad.

As discussed in the earlier sections, Smart Memories supports a fine-grain synchronization protocol that allows processors to report unsuccessful synchronization operations to Memory Controllers, also known as synchronization misses. When the state of a synchronization location changes, a wakeup notification is sent to the Memory Controller and the failing request is retried on behalf of the processor. The Sync Unit is in charge of managing all the synchronization misses and replaying operations after wakeup notifications are received.

Information about synchronization misses is stored in the Sync Queue structure. The Sync Queue is sized such that there is at least one entry for each processor in the system<sup>6</sup>. When a synchronization miss is received, its information is recorded in the Sync Queue. When a wakeup notification is received for a specific address, the outstanding synchronization miss on that address is removed from the Sync Queue and a replay request is sent to the appropriate Quad to replay the synchronization operation.

The Sync Unit also handles *cancel requests* received by the network interface. A cancel request erases a synchronization miss from a specific processor if it exists in the Sync Queue. The Sync Unit invalidates the Sync Queue entry associated with the processor and sends a *Cancel Reply* message back to the Quad that sent the Cancel request.

The network interface of the Memory Controller is essentially the same as the Protocol Controller network interface, as discussed in Section 3.5.1. It has separate transmit and receive blocks that are connected to input/output pins. It can send short and long packets and has basic broadcast capabilities which are discussed in Section 3.6.

<sup>&</sup>lt;sup>6</sup> Since synchronization instructions are blocking, each processor can have at most one synchronization miss outstanding

The memory interface is a generic 64-bit wide interface to the off-chip memory that is operated by the Memory Queue structure. When one of the Memory Controller units needs to access main memory, it places its read/write request into the Memory Queue and the reply is returned to the issuing unit after the memory operation is complete. Requests inside the queue are guaranteed to complete in the order in which they are placed in the queue and are never re-ordered with respect to each other. Block read/write operations are always broken into 64-bit wide operations by the issuing units and are then placed inside the Memory Queue structure.

The Memory Controller can be optionally connected to the second level cache. In this case, the Memory Controller tries to find the requested cache line in the second level cache before sending a request to the off-chip DRAM. The second level cache can reduce average access latency and required off-chip bandwidth. Note that there is no cache coherence problem for second level caches if there is more than one Memory Controller because Memory Controllers are address-interleaved and a particular cache line will always be cached in the same second level cache bank.

### **3.8 SMART MEMORIES TEST CHIP**

To evaluate a possible implementation of a polymorphic architecture, the Smart Memories test chip was designed and fabricated using STMicroelectronics CMOS 90 nm technology. It contains a single Quad, which has four Tiles with eight Tensilica processors and a shared Protocol Controller (Figure 3.16). To reduce the area of the test chip, the Memory Controller with DRAM interface is placed in an FPGA on a test board, the Berkeley Emulation Engine 2 (BEE2) [110]. Up to four Smart Memories test chips can be connected to four FPGAs on a BEE2 board, forming a 32-processor system.

The Smart Memories test chip was designed using standard cell ASIC design methodology. Verilog RTL for all modules was synthesized by the Synopsys Design Compiler, and was placed and routed by Synopsys IC Compiler. Physical characteristics of the test chip are summarized in Table 3.3. The chip area is  $60.5 \text{ mm}^2$ , and the core area, which includes Tiles and Protocol Controller, is  $51.7 \text{ mm}^2$  (Table 3.4).

Technology	ST Microelectronics CMOS 90 nm
Supply voltage	1.0 V
I/O voltage	2.5 V
Dimensions	7.77mm × 7.77mm
Total Area	$60.5 \text{ mm}^2$
Number of transistors	55 million
Clock cycle time	5.5 ns (181 MHz), worst corner
Nominal power (estimate)	1320 mw
Number of gates	2.9 million
Number of memory macros	128
Signal pins	202
Power pins	187 (93 power, 94 ground)

Table 3.3: Smart Memories test chip details



Figure 3.16: Smart Memories test chip die

The area breakdown for the test chip is shown in Table 3.4. Tiles, which contain all processors and memories, consume 66% of the chip area, while the area of the shared

Protocol Controller is 12%. The percentage of overhead area (22%) would be smaller for larger scale systems containing multiple Quads.

Module	Area, mm <sup>2</sup>	%
Tiles	40.0	66.1
Protocol Controller	7.2	11.9
Chip core	51.7	85.4
Routing channels	4.5	7.5
Pad ring	8.8	14.6
Chip	60.5	100.0

Table 3.4: Smart Memories test chip area breakdown

## 3.9 SUMMARY

This chapter presented the design of the Smart Memories architecture, which leveraged Tensilica processors allowing us to focus on the reconfigurable memory system. By creating local memory storage containing metadata bits and a programmable local memory controller we created a system that can support a wide number of memory models including streaming, transactions and cache coherent threads. Based on this architecture we created a test chip implementation with eight processors and evaluated hardware overheads of reconfigurability. While the overheads in the current system are high (slightly less than 50%) this is the result of using flops for building some of the storage arrays the system requires. Since others have already demonstrated these memories can be built with small overhead, the final overhead for configuration should be much smaller. The next chapters show how three different programming models can be mapped onto Smart Memories architecture.

# **CHAPTER 4: SHARED MEMORY**

This chapter describes how a shared memory model with broadcast-based MESI cache coherence protocol and relaxed memory consistency can be mapped onto the reconfigurable Smart Memories architecture and presents performance evaluation results showing good scaling for up to 32 processors. Also, the chapter discusses how the flexibility of Smart Memories can be used to extend the shared memory model to provide fast fine-grain synchronization operations, which are useful for optimization of applications with producer-consumer patter. Finally, we describe how the flexibility of the memory system can be used to adjust the cache configuration for the requirements of a particular application.

## **4.1 HARDWARE CONFIGURATION**

For the shared memory programming model (Section 2.3.1) the on-chip memory resources of the Smart Memories architecture are used as instruction and data caches. Figure 4.1 shows an example of a Tile configuration for shared memory mode. In this case, memory mats inside the Tile are used as a 32 KB 2-way set-associative data cache and a 16 KB 2-way set-associative instruction cache. Each way of the cache has its own dedicated tag mat as highlighted in Figure 4.1. In this example, the processors share both caches.

Each processor load or store generates 4 memory mat operations: 2 tag mat readcompares and 2 data mat reads or writes (shown with bold arrows in Figure 4.1). These operations are routed to the appropriate memory mats by the crossbar according to the cache configuration. The Total Match (TM) outputs of the tag mats are used as Hit/Miss signals for corresponding ways of the cache. These signals are routed through the IMCN (Section 3.4) to the data mats to disable data mat operation in the case of cache miss. Meta data bits in tag mats are used to store control the state of each cache line: *valid* (V), *exclusive* (E), *modified* (M), *reserved* (R), and *most-recently-used* (MRU) bits. V, E and M bits are used to indicate the coherence state of a cache line similarly to the conventional MESI cache coherence protocol. The R bit is used by the Protocol Controller to reserve a cache line for an outstanding cache miss refill request: after a cache miss request is accepted for service, the Protocol Controller selects a candidate line for eviction, performs a writeback if necessary, and sets the line state to *reserved*. A processor request results in a cache miss if it tries to access a reserved cache line.



Figure 4.1: Example of cached configuration

The MRU bit is used to implement a *not-most-recently-used* eviction policy for setassociative caches: for every cache hit the MRU bit is set in the cache way where the hit was detected and reset for all other ways. When the Protocol Controller has to select a candidate line for the eviction, it uses the MRU bit to detect which way of the cache was most recently used and randomly selects one of the other ways. In the case of a 2-way set-associative cache this is equivalent to a *least-recently-used* (LRU) policy.

The Protocol Controller (Section 3.5.1) performs all necessary cache coherence actions within a Quad. It checks for conflicts among incoming cache miss requests and ensures their proper *serialization*. It also performs snooping within a Quad by sending lookup requests to caches in other Tiles and performs *cache-to-cache transfers* in the case of a snoop hit.



Figure 4.2: Hierarchical cache coherence

In multi-Quad configurations Memory Controllers serve as serialization points for inter-Quad cache coherence as illustrated in Figure 4.2. The Memory Controller broadcasts snoop requests to other Quads, collects replies and performs off-chip DRAM accesses.

The cache-coherent mode of the Smart Memories architecture implements *relaxed memory consistency* [53]. Loads always complete in-order because processors stall in the case of a cache miss but stores can complete out-of-order because store cache misses are *non-blocking*. There are several reasons for re-ordering: later store misses can be merged

with an already outstanding request in an MSHR in the Protocol Controller or in the Memory Controller or a later store miss can be serviced faster because its cache line is found in a neighboring Tile. Thus, a memory barrier instruction must be used to enforce of memory ordering: it stalls the processor until all outstanding memory operations issued by the processor are completed.

The Smart Memories cache-coherent mode maintains the property of *write atomicity* [53] because it uses an invalidation cache coherence protocol and there is no data forwarding in the memory system.

### **4.2 FAST FINE-GRAIN SYNCHRONIZATION**

The flexibility of the Smart Memories architecture can be used to extend semantics of memory operations. For example, meta data bits in the Memory Mats can be used to implement *full/empty* (FE) bits [86-94]. Full/empty bits are used as locks associated with each data word to enable fine-grain producer-consumer synchronization. Tensilica processors are extended with several memory instructions that use these bits:

*Synchronized load* stalls the processor if the FE bit associated with the corresponding data word is empty (zero). When the FE bit is set to 1, the processor is unstalled and the value of the data word is returned to the processor. After completion of a synchronized load, the FE bit is atomically reset to 0. A synchronized load is essentially a combination of a lock acquire with a conventional load.

*Synchronized store* stalls the processor if the FE bit associated with corresponding data word is full (one); if the FE bit is 0, the processor is unstalled and the store data is written into the data word. After completion of a synchronized store, the FE bit is atomically set to 1. A synchronized store is essentially a combination of a conventional store and a lock release.

*Future load* is similar to synchronized load but it does not change the value of the FE bit.

*Reset load* and *set store* are similar to synchronized load and store but they do not stall the processor regardless of the state of the FE bit. These instructions are necessary for initialization.

To implement synchronized memory operations in the Smart Memories architecture two metadata bits in the data mats of the caches are used: *full/empty* (FE) and *waiting* (W) bits. The FE bit is used as described above. Whenever a synchronized operation cannot complete because of the state of the FE bit, interface logic in the Tile generates a *synchronization miss* message which is sent to the Protocol Controller and then to the Memory Controller. The Memory Controller serves as serialization point for synchronization miss messages and keeps track of outstanding synchronization misses.

The W bit is set to indicate that there is synchronized operation waiting on this address. When the FE bit is flipped for a data word that also has its W bit set, a *wakeup* message is generated and sent to the Memory Controller. The Memory Controller checks if any synchronization miss is outstanding for the same address and sends a *replay* message to the originating Protocol Controller, which tries to re-execute the synchronization operation in the same cache. If the state of the FE bit was changed between wakeup and replay, then replay would not be completed and the synchronization miss would be sent to the Memory Controller again. For correct operation, the synchronization misses and wakeups must not be re-ordered within the memory system. This condition is ensured by virtual channel assignment in the on-chip network (Section 3.6).

Synchronized memory operations work with cached data and therefore must interact correctly with cache coherence. In the Smart Memories implementation, every synchronized memory operation is treated as an operation requiring exclusive state of the cache line. Therefore, before synchronized operation can be executed, the cache line must be fetched or upgraded to exclusive state by the Protocol Controller. The same is also true for replays of synchronization misses. Such an approach guarantees transparent execution of synchronized memory operations.

## **4.3 EVALUATION**

Several shared memory applications (Table 4.1) and kernels (Table 4.2) were used to evaluate performance of shared memory mode of the Smart Memories architecture. All benchmarks were compiled by the Tensilica optimizing compiler (XCC) with a –O3 option (highest optimization level) and a –ipa option (inter-procedural analysis).

Table 4.1: Shared memory applications

Name	Source	Runtime	Comment
mp3d	SPLASH [95]	ANL	simulation of rarefied hypersonic flow
barnes	SPLASH-2 [96]	ANL	Barnes-Hut hierarchical N-body method
fmm	SPLASH-2	ANL	N-body adaptive fast multi-pole method
179.art	SPEC CFP2000 [97]	pthreads	image recognition/neural networks parallelized for [44, 45]
MPEG-2 encoder		pthreads	parallelized for [44, 45]

Table 4.2: Shared memory kernels

Name	Source	Runtime	Comment
radix	SPLASH-2	ANL	integer radix sort kernel
fft	SPLASH-2	ANL	complex 1-D FFT kernel
lu	SPLASH-2	ANL	LU factorization kernel
cholesky	SPLASH-2	ANL	blocked sparse Cholesky factorization kernel
bitonic sort		pthreads	developed and parallelized for [44, 45]

System configuration parameters used for simulation are shown in Table 4.3 (except for MPEG-2 encoder, for which 8 KB data caches and 16 KB instruction caches were used, as discussed in Section 4.3.2). Latency parameters for Protocol Controller, Memory Controller, and network were back-annotated from the actual RTL design. To provide

sufficient off-chip memory bandwidth the number of Memory Controllers is equal to the number of Quads.

Parameter	Value	Comment
Off-chip memory latency	100 cvcles	
Off-chip memory width	64 bits	per Memory Controller
Cache line size	32 bytes	
Network switch latency	4 cycles	
Network interface latency	5 cycles	including message formation, buffering, arbitration, pipelining and wiring delay within Quad
Number of MSHRs	16	
L1 cache latency	2 cycles	
L1 data cache size	16 KB	per processor
L1 instruction cache size	8 KB	per processor

 Table 4.3: System configuration parameters

As shown in Figure 4.3 four out of five applications exhibit good performance scaling, achieving speedups between 24 and 32 on a 32-processor configuration. The exception is mp3d, which is limited by off-chip memory bandwidth. mp3d performance of 4 and 8-proceessor configurations is almost identical: 2.66 vs. 2.78.



Figure 4.3: Shared memory application speedups

Similarly to mp3d, performance scaling of three out of five kernels is limited by the DRAM bandwidth (Figure 4.4a). Increase in off-chip bandwidth by doubling the number of Memory Controllers per Quad results in significant performance improvement for these applications as shown in Figure 4.4b. For example, the speedup of mp3d on a 32-processor configuration improves from 10.8 to 18.3.



a) 1 Memory Controller per Quad b) 2

b) 2 Memory Controllers per Quad

Figure 4.4: Shared memory kernel speedups

Another way to improve performance of memory intensive applications and kernels is to add an on-chip second level cache between Memory Controllers and off-chip DRAM. For these applications it would completely solve the problem; for more realistic applications it would at least reduce the main memory bandwidth requirements.

## 4.3.1 PERFORMANCE OF FAST FINE-GRAIN SYNCHRONIZATION OPERATIONS

In order to evaluate the performance of fast fine-grain memory operations (Section 4.2), mp3d (Section 4.3) was recompiled to use synchronized loads and stores for fine-grain locking of space cell data structures. By default, these locks are compiled out, and the accesses to these data structures cause data races. The reason for this is performance: in conventional shared memory architectures, fine-grain locking is expensive. Since mp3d performs randomized computation and reports results only after statistical averaging of many steps of computation, the data races should not alter the results significantly [95].

In the case of the Smart Memories architecture, fine-grain locking<sup>7</sup> with synchronized loads and stores has little effect on performance as shown in Figure 4.5. Two Memory Controllers per Quad were used as in Figure 4.4b to provide sufficient off-chip bandwidth.

Note that mp3d with locks slightly outperforms the default version without locks on 8-32 processor configurations, even though locks require execution of extra instructions. This happens because mp3d performs many read-modify-write operations on shared data. The version without locks causes a read cache miss first, which brings the cache line in the shared state; a later write to the same cache line then also causes an upgrade miss. The version of mp3d with locks first performs a synchronized load to acquire a lock which brings the cache line in the exclusive state (Section 4.2) before the read-modify-write operation, eliminating upgrade miss. As a result, the number of upgrade misses is decreased by a factor of three, reducing occupancy of the Protocol Controllers.

<sup>&</sup>lt;sup>7</sup> These locks are necessary but not sufficient to make mp3d results *deterministic*, i.e. reproducible, independent of thread interleaving.



Figure 4.5: mp3d performance with and without locking

This comparison mp3d with and without fine-grain locking is an indication of how well synchronized memory operations perform on the Smart Memories architecture. But to realize the benefits of fast fine-grain synchronization, application software must be redesigned. V. Wong redesigned several probabilistic inference algorithms with fine-grain locking and showed that fast fine-grain locking can result in significant performance improvements [99]. For example, a recursive conditioning algorithm can use synchronized memory operations to cache and share intermediate computation results between multiple threads to avoid re-computation. As a result, the recursive conditioning algorithm with fine-grain synchronization and multiple hardware contexts [100, 101] performs three times better than the original algorithm on a 32-processor configuration [99].

#### 4.3.2 MPEG-2 ENCODER

The MPEG-2 encoder is an interesting example of an application that presents opportunities for performance optimization by both restructuring software and utilizing

capabilities of the reconfigurable memory system to fit cache configuration to the demands of an optimized application.

The original parallel version of an MPEG-2 encoder by Li et al. [98] performs each stage of the computation, i.e. Motion Estimation, DCT, Quantization, etc., on an entire video frame before starting the next stage. Such structuring of the application results in a significant data cache miss rate since the whole video frame typically does not fit into a first level cache, especially for configurations with a small number of processors. Each stage of encoding causes writeback of intermediate data, while the next stage has to reload it from off-chip memory.

Alternatively, an MPEG-2 encoder can be parallelized at the macroblock level [44, 45]. Macroblocks are entirely data-parallel and dynamically assigned to cores using a task queue. The code was restructured by hoisting the inner loops of several tasks (e.g. Motion Estimation, DCT) into a single outer loop that calls each task in turn. All tasks are executed on a single macroblock of a frame before moving to the next macroblock. This allows one to condense a large temporary array into a small number of stack variables. The improved *producer-consumer locality* reduced write-backs from first level caches by 60%. Furthermore, improving the parallel efficiency of the application became a simple matter of scheduling a single data-parallel loop.

However, this streaming-inspired optimization can lead to a higher instruction cache miss rate unless the code for all stages of the computation fits into the instruction cache. On the other hand, the size of the data cache becomes less critical as long as it is large enough to capture producer-consumer locality within one macroblock. Such characteristics are quite different from characteristics of other shared memory applications discussed in Section 4.3.

The reconfigurable Smart Memories architecture can exploit characteristics of the MPEG-2 encoder to optimize performance by matching cache parameters to the

requirements of the applications. Figure 4.6 shows relative performance for five different Tile cache configurations with the same amount of data storage:

1) two 8 KB instruction caches and a single 32 KB data cache (2 8K IC/1 32K DC);

2) two 8 KB instruction caches and two 16 KB data caches (2 8K IC/2 16K DC);

3) a single 16 KB instruction cache and a single 32 KB data cache (1 16K IC/1 32K DC);

4) two 16 KB instruction caches and a single 16 KB data cache (2 16K IC/1 16K DC);

5) two 16 KB instruction caches and two 8 KB data caches (2 16K IC/2 8K DC);

All speedups are normalized with respect to a single processor configuration with 16 KB instruction and 8 KB data caches.

2 16K IC/2 8K DC configurations significantly outperform 2 8K IC/2 16K DC and 2 8K IC/1 32K DC configurations because of fewer instruction cache misses (Figure 4.7). Although the size of instruction cache differs only by a factor of two, the difference in instruction cache miss rate is significant. As a result, the percentage of instruction fetch stalls is high for configurations with an 8 KB instruction cache, as shown in Figure 4.8, which significantly degrades performance.

Configurations with a shared instruction cache (1 16K IC/1 32K DC) also have low instruction miss rates, however, they also suffer from instruction fetch stalls (Figure 4.8) and have lower performance than configurations with private 16 KB instruction caches, especially for low processor counts. The reason for this performance degradation is high utilization of the shared instruction cache (e.g. 72% vs. 46% for private cache) and additional fetch stalls due to conflicts between two processors in the Tile.



Figure 4.6: MPEG-2 encoder speedups for different cache configurations

This performance difference is specific to the optimized version of MPEG-2 encoder. Other applications discussed in Section 4.3, as well as the original version of MPEG-2 encoder, exhibit different behavior: they benefit from larger data caches, and the size of the instruction cache has little effect on performance. MPEG-2 encoder is an example of how the reconfigurability of the Smart Memories architecture can be used to optimize memory system performance for a particular application.



Figure 4.7: Instruction miss rate for different cache configurations (%)



Figure 4.8: Instruction fetch stalls for different cache configurations (%)

As the number of processors increases, the percentage of fetch stalls as total execution time decreases in all configurations (Figure 4.8) because of two factors. First, when many processors execute the same code at the same time, their fetch miss requests are likely to be merged by the Protocol Controller (Section 3.5.1) or the requested cache lines are likely to be found in other caches within a Quad. Because of these optimizations, the number of fetch misses which need to be sent out of the Quad decreases significantly (Figure 4.9). Correspondingly, the average fetch latency also decreases (Figure 4.10).

Second, with a larger number of processors, more time is spent on inter-processor synchronization, which becomes a larger portion of the total execution time.



Figure 4.9: Number of out-of-Quad fetch misses (millions)



Figure 4.10: Average fetch latency (cycles)

## **4.4 CONCLUSIONS**

This chapter described the mapping of shared memory cache-coherent model onto the reconfigurable Smart Memories architecture. Performance evaluation shows that Smart Memories achieves good performance scaling for up to 32 processors for several shared memory applications and kernels. This chapter also shows how flexibility in metadata manipulation is used to support fast fine-grain synchronization operations. Software developers can use such operations to optimize performance of shared applications with producer-consumer dependency. In addition to allowing new memory features, flexibility in cache configuration can be used to tailor memory system to the requirements of particular application. We demonstrated that this simple feature achieved significant performance improvements for the MPEG-2 encoder.

# **CHAPTER 5: STREAMING**

This chapter describes the streaming mode of the Smart Memories architecture, which implements the architectural concepts discussed in Section 2.3.2, that is softwaremanaged local memories and DMAs instead of the coherent caches used in conventional shared memory systems. Then, we present implementations of software runtimes for streaming, including a Stream Virtual Machine [61-63], showing how the flexibility of the Smart Memories architecture is used to simplify and optimize a complex runtime system. After that, we present performance evaluation results of the Smart Memories streaming mode and compare it to shared memory mode, showing good performance scaling. Finally, we take one of the benchmark applications, 179.art, and describe tradeoffs between different methods of streaming emulation in shared memory mode.

## 5.1 HARDWARE CONFIGURATION

To support the stream programming model (Section 2.3.2), the memory mats inside the Tile are configured as local, directly addressable memories (*stream data* mats in Figure 5.1). The corresponding memory segment (Section 3.4.1) in the Tile's interface logic (LSU) is configured to be *on-tile*, *uncached*. Loads or stores issued by the processors are translated into simple read or write requests and routed to the appropriate single memory mat. Thus, application software executed on the processors can directly access and control the content of local memory mats. Similarly, processors can access memories in other Tiles, Quads and off-chip DRAM, thus, streaming application software has complete control over data allocation in different types of memories and movement of data between different memories. However, for efficiency reasons it is better to move data between on-chip and off-chip memories using the software-controlled DMA engines as described in the next section.



Figure 5.1: Example of streaming configuration

One or more memory mats can be used for shared data (*shared data* mat in Figure 5.1). Processors from other Tiles and Quads can access the shared data mat via their *off-tile*, *uncached* memory segment. In this case, interface logic and Protocol Controllers route memory accesses through crossbars and the on-chip network to the destination mat. Shared data mats contain shared application state such as reduction variables, state for synchronization primitives such as barriers, and control state for software runtime.

For synchronization between processors, application and runtime software can use uncached synchronized loads and stores as in the case of cache coherent configurations (Section 4.2). Uncached synchronized operations are executed directly on local memories without causing any coherence activity, and therefore are more efficient.

For streaming mode, a few memory mats can be configured as a small instruction cache as shown in Figure 5.1. Instruction caches typically perform very well for streaming applications because these applications are dominated by small, compute-intensive kernels with a small instruction footprint.



Figure 5.2: Alternative streaming configuration

If a streaming application is well optimized, it may be able to completely hide memory latency by overlapping DMA operations with computational kernels. In this case, a shared instruction cache can become a bottleneck because of high utilization and conflicts between the processors in the Tile. Therefore, it is more efficient to configure two private instruction caches within a Tile (Figure 5.2), taking advantage of Smart Memories reconfigurable architecture.

Finally, some of the data regions, such as thread stack data and read-only data, also exhibit very good caching behavior. Configuring a small shared data cache as shown in Figure 5.1 and Figure 5.2 for these data regions simplifies software because the

programmer does not need to worry about memory size issues for such data, e.g. stack overflow.

## 5.2 DIRECT MEMORY ACCESS CHANNELS

Direct Memory Access (DMA) channels are included in the Quad Protocol Controller (Section 3.5.1). DMA is used for bulk data transfers between Tile memory mats and offchip DRAM. Streaming applications can directly program DMA channels by writing into control registers of the Protocol Controller.

The following types of DMA transfer are supported by the Smart Memories architecture:

- 1) copy of contiguous memory region (DMA\_MOVE);
- 2) stride scatter (DMA\_STR\_SCATTER);
- 3) stride gather (DMA\_STR\_GATHER);
- 4) index scatter (DMA\_IND\_SCATTER);
- 5) index gather (DMA\_IND\_GATHER).

DMA transfers must satisfy several conditions:

- cache memories must not be a source or a destination;
- source or destination should be in the same Quad as the DMA channel;
- index array should be in the local memory mats in the same Quad as the DMA channel;
- DMA channels work with physical addresses, no virtual-to-physical address translation is supported;
- DMA channels can perform only simple read and write operations, synchronized operations can not be executed;
- all addresses must be 32-bit word aligned, and the element size for stride and index DMA must be a multiple of four bytes;

Upon completion of the transfer, the DMA channel can perform up to two extra stores to signal the end of the transfer to the runtime or to the application. Each *completion store* can:

- perform a simple write into a flag variable;
- perform a write into a memory mat configured as a FIFO;
- cause a processor interrupt by writing into a special interrupt register inside the Protocol Contoller;
- perform a set store to set the full-empty bit and wake up a processor waiting on a synchronized load.

Similarly to DMA data transfers, completion stores must write 32-bit words and cannot write into caches.

### 5.3 RUNTIME

For streaming mode we developed two different runtime systems. One of them is called *Stream Virtual Machine* [61-63]. It was used as an abstraction layer for high-level stream compiler research [102-104].

The other runtime system is a steaming version of the Pthreads runtime which provides a low level Application Programming Interface (API). Such an API gives programmer a lot of flexibility and allows experimentation with streaming optimizations (an example is described in Appendix B) when a high-level stream compiler is still not available. The Pthreads runtime allowed us to manually develop and optimize several streaming applications which were used for streaming mode performance evaluation and comparison of streaming with shared memory model [44, 45].

## 5.3.1 STREAM VIRTUAL MACHINE

The *Stream Virtual Machine* (SVM) was proposed as an abstraction model and an intermediate level API common for a variety of streaming architectures [61-63]. The goal of SVM infrastructure development is to share a *high-level stream compiler* such as R-Stream [102-104] among different streaming architectures.

SVM compiler flow for Smart Memories is shown in Figure 5.3. *High-level compiler* (HLC, R-stream in Figure 5.3) inputs are 1) a stream application written in a high-level language, such as *C with Streams*, and 2) an *SVM abstract machine model*. The HLC performs parallelization and data blocking and generates SVM code, which is C code with SVM API calls. The *Low-level compiler* (LLC, Tensilica compiler in Figure 5.3) compiles SVM code to executable binary ("SM binaries").



Figure 5.3: SVM compiler flow for Smart Memories

The SVM abstract machine model describes the key resources of the particular streaming architecture and its specific configuration: computational resources, bandwidth of interconnect and memories, sizes of local stream memories. This information allows the

HLC to decide how to partition computation and data. The SVM model has three threads of control, one each for the *control processor*, the *stream processor*, and the DMA engine. The Control processor orchestrates operation of the stream processor and DMA. The Stream processor performs all computation and can also initiate DMA transfers. The DMA engine does all transfers between off-chip main memory and local stream memories.

The SVM API allows specification of dependencies between computational kernels executed on the stream processor and DMA transfers. Some of these dependencies are producer-consumer dependencies derived from the application code but others are related to resource constraints like allocation of local memories [63]. The Control processor dispatches operations to the stream processor and DMA according to dependencies specified by the HLC.

The SVM API implementation for Smart Memories takes advantage of the flexibility of its reconfigurable memory system [63]. An example of SVM mapping on Smart Memories is shown in Figure 5.4. One of the processors is used as a control processor, which uses instruction and data caches. One of the memory mats is used as uncached local memory for synchronization with other processors and DMA engines. Other processors are used as stream processors for computation. They use instruction cache and local memory mats for stream data.

To simplify synchronization between control and stream processors and DMA, two memory mats are used as FIFOs (Figure 5.4). One FIFO is used by the stream processors to queue DMA requests sent to the control processor. To initiate a DMA transfer stream, the processor first creates a data structure describing the required transfer, and then it writes a pointer to this structure into a *DMA request FIFO* as a single data word.

Another FIFO is used by the DMA engines to signal to the control processor completion of DMA transfers. Each DMA engine is programmed to write its ID to this *DMA completion FIFO* at the end of each transfer.

The control processor manages all DMA engines and dependencies between DMA transfers and computational kernels. It reads the DMA request FIFO and, if it is not empty and there is an unused DMA engine, it starts a DMA transfer on that engine. The control processor also reads the DMA completion FIFO to determine which DMA transfer is completed and when the next operation can be initiated.

To avoid constant polling of the FIFO, an *empty flag* variable is used in the local memory of the control processor. After reaching the end of both FIFOs the control processor executes a synchronized load to this flag and stalls if it is empty. The DMA engine or the stream processor performs a *set store* (Section 4.2) to the empty flag after writing into the corresponding FIFO. The set store wakes up the control processor if it was waiting. After wakeup, the control processor checks both FIFOs and processes queued DMA requests and DMA completions.



Figure 5.4: SVM mapping on Smart Memories

Synchronized memory operations and DMA flexibility allows the SVM runtime to avoid FIFO polling or interrupts, which require at least 50 cycles to store processor state. Using a memory mat as a hardware FIFO greatly simplifies and speeds up runtime software for the control processor: instead of polling multiple entities or status variables in the memory to figure out which units require attention, it has to read only two FIFOs. Also, hardware FIFOs eliminate a lot of explicit software synchronization between stream and control processors because both can rely on atomic FIFO read and write instructions.

F. Labonte showed that the SVM API can be implemented very efficiently on Smart Memories [63]. The percentage of dynamically executed instructions due to SVM API calls is less than 0.6% for the GMTI application [105] compiled with the R-Stream compiler. More details and results on SVM and its implementation for Smart Memories are presented in the cited paper [63].

## 5.3.2 PTHREADS RUNTIME FOR STREAMING

While the SVM approach is very promising for development of portable streaming applications written in a high-level language, it depends on the availability and maturity of a high-level stream compiler such as R-Stream [102-104]. Development of such advanced compilers is still an area of active research and only a very limited set of applications can be handled efficiently by the R-Stream compiler.

To evaluate streaming on a wider range of applications, a streaming version of the POSIX threads (Pthreads) runtime [55] was developed. This runtime was used for a comparison study of streaming and cache-coherent memory systems in a couple of papers by Leverich et al [44, 45]. The programmer can use this runtime to parallelize an application manually and convert it to a streaming version. This approach resembles the StreamC/KernelC approach for stream application development [26] in that it requires the programmer to use explicit hardware-specific constructs. However, Pthreads are much

more familiar to programmers and provide a lot more flexibility than the high-level streaming language used as source for SVM runtime.

The main difference between conventional Pthreads and streaming Pthreads is in the different types of memories explicitly supported by the runtime, and support for explicit memory allocation and management. The thread stack and the read-only segment are mapped to the data cache (Figure 5.1), which is not coherent. This means that *automatic local* variables and arrays in the thread-stack segment cannot be shared. However, this is viewed as bad software practice because the same location on the stack can be used for different variables in different functions or even in different invocations of the same function.

Shared variables must be global/static or heap variables, which are allocated to off-chip main memory and are not cached. These locations can be safely shared among threads; however, direct access to them is slow because of the absence of caching. The recommended way to access these locations is through DMA transfer to Tile local memory: the DMA engine can perform bulk data transfer very efficiently with the goal of hiding the latency under concurrent computation.

Local memory mats are used for *stream data* (Figure 5.1), i.e. data fetched by DMA from off-chip memory. The programmer can declare a variable or array to be allocated in local memory using the \_\_attribute\_\_ ((section(".stream\_buffer"))) construct of the GNU C compiler [106], which is also supported by the Tensilica XCC compiler. Variables and arrays declared in this way are automatically allocated by the compiler in the thread-local memory on Tile. A simple *local memory heap* is implemented, which allows an application to dynamically allocate arrays in the local memory during runtime. This is necessary for optimal local memory allocation, which depends on the data size, the number of processors, and the size of local memory (Section 5.4.1).

Shared runtime data, synchronization locks and barriers, application *reduction variables* and other shared data are allocated in the shared memory mat (Figure 5.1) using the \_\_\_\_attribute\_\_\_ ((section(".shared\_data"))) construct. Similarly, a simple *shared memory heap* is implemented to support dynamic allocation of shared data objects.

The stream Pthreads runtime provides programmers with a lot of flexibility. It can explicitly emulate the SVM runtime by dedicating a single control processor to manage DMA transfers. However, in many applications, such as investigated in Leverich et al [44, 45], it is easier to dedicate a DMA engine per execution processor, so that each processor can issue chained DMA transfer requests directly to the DMA engine and avoid the overhead and complexity of frequent interaction with the DMA control processor. In such cases simple synchronization primitives, e.g. synchronization barriers, are usually sufficient because processors need to synchronize only at the boundaries of different computation phases or for reduction computations.

#### **5.4 EVALUATION**

Several streaming applications were developed using the stream Pthreads runtime for a comparison study of streaming and cache-coherent memory systems [44, 45]. This section presents performance results for three of these applications (Table 5.1) and compares their performance to shared memory versions of the same applications (Section 4.3). These applications were selected because they exhibit different memory characteristics and different performance behaviors for streaming and cache-coherent memory systems. Results for more applications are presented in the earlier paper [44, 45]. All benchmarks were compiled with the Tensilica optimizing compiler (XCC) using the highest optimization options (–O3) and inter-procedural analysis (–ipa option).

 Table 5.1: Streaming Applications

Name	Source	Comment
179.art	SPEC CFP2000 [97]	image recognition/neural networks
Bitonic sort	[44, 45]	
MPEG-2 encoder	[44, 45]	parallelization and stream optimizations are described in Section 4.3.2

179.art is one of the benchmarks in the SPEC CFP2000 suite [97]. It is a neural network simulator that is used to recognize objects in a thermal image. The application consists of two parts: training the neural network and recognizing the learned images. Both parts are very similar: they do data-parallel vector operations and reductions. Parallelization and streaming optimization of 179.art are described in Appendix B. The performance of shared memory and streaming versions is discussed in more detail in the next section.

Bitonic sort is an in-place sorting algorithm that is parallelized across sub-arrays of a large input array. The processors first sort chunks of 4096 keys in parallel using *quicksort*. Then, sorted chunks are merged or sorted until the full array is sorted. Bitonic Sort retains full parallelism for the duration of the merge phase. Bitonic sort operates on the list *in situ* [44, 45]. It is often the case that sub-lists are already moderately ordered such that a large percentage of the elements don't need to be swapped, and consequently don't need to be written back. The cache-based system naturally discovers this behavior, while the streaming memory system writes the unmodified data back to main memory anyway [44, 45].

Parallelization and optimization of the MPEG-2 encoder for shared memory is explained in detail in Section 4.3.2. A streaming version of the MPEG-2 encoder uses a separate thread, called a DMA helper thread, to manage DMA engines and DMA requests that are queued by computational threads. For these experiments, a helper thread shared a processor with one of the computational threads.


Figure 5.5: Performance scaling of streaming applications

Figure 5.5 plots the scaling performance of shared memory (CC) and streaming versions (STR) of our applications. The streaming version of 179.art outperforms the shared memory version for all processor counts. Figure 5.7 shows processor execution cycle breakdown for both versions of 179.art. All bars are normalized with respect to a shared memory version running on a configuration with one Memory Controller per Quad. For the streaming version, DMA wait cycles are part of sync stalls.



a) 1 Memory Controller per Quad

b) 2 Memory Controllers per Quad



Figure 5.6: Off-chip bandwidth utilization



b) 2 Memory Controllers per Quad

Figure 5.7: Cycle breakdown for 179.art

Increasing the off-chip memory bandwidth (by increasing the number of memory controllers) also increases the streaming performance much more significantly (Figure 5.5b). For small processor counts (1-4) the streaming version of 179.art uses a higher percentage of the available off-chip memory bandwidth (Figure 5.6); for larger processor counts it moves a smaller amount of data to and from off-chip memory as described in more detail in Section 5.4.1.

Bitonic sort shows the opposite result: the shared memory version outperforms streaming significantly. As mentioned before, the shared memory version of bitonic sort avoids writebacks of unmodified cache lines and therefore requires less off-chip bandwidth. Both streaming and shared memory versions are limited by off-chip bandwidth (Figure 5.6a) and an increase in off-chip bandwidth (by doubling the number of Memory Controllers per Quad) improves performance of both (Figure 5.5b), however, the streaming version still has lower performance for all configurations with more than two processors.

Streaming and shared memory versions of the MPEG-2 encoder perform similarly for up to 16 processors (Figure 5.5). MPEG-2 encoder is a compute-intensive application and it shows very good cache performance despite a large dataset. It exhibits good spatial or temporal locality and has enough computation per data element to amortize the penalty for any misses. Both caches and local memories capture data locality patterns equally well. The MPEG-2 encoder is not limited by off-chip memory bandwidth (Figure 5.6) and an increase in off-chip bandwidth does not change performance significantly (Figure 5.5b).

The streaming version executes more instructions because it has to program many complex DMA transfers explicitly. Also, its instruction cache miss rate is slightly higher for a 16 KB instruction cache because of the larger executable size (although in both cases the instruction miss rate is less than 1%). As a result, the streaming version is approximately 15% slower for 1-16 processors. In the case of the 32-processor

configuration, the streaming version is 27% slower because of increased overhead of synchronization with a single shared DMA helper thread.



b) Two Memory Controllers per Quad

Figure 5.8: Performance scaling of streaming applications with 4MB L2 cache

To explore the effect of a second level cache we repeated the same simulations for the same configurations with a 4 MB second level cache. Performance results are shown in Figure 5.8. As one might expect, with a large second level cache the effect of doubling the number of Memory Controllers is negligible (Figure 5.8a versus Figure 5.8b). Also, performance scaling of MPEG-2 encoder does not change significantly because this application is not limited by the memory system.

The difference between streaming and shared memory versions of bitonic sort becomes negligible because the entire dataset of this application fits within the second level cache. However, this is only true for this particular dataset size. For larger datasets which do not fit within second level cache, or for a smaller second level cache, the performance difference is the same as that which was shown in Figure 5.5.

In the case of 179.art, the streaming version still outperforms the shared memory version even though the second level cache reduces the percentage of cache miss stalls significantly (Figure 5.9) and the absolute execution time of the shared memory version on a single processor decreases by a factor of approximately 2x. For a 32-processor configuration, the streaming version also exhibits fewer synchronization stalls.



Figure 5.9: Cycle breakdown for 179.art with 4 MB L2 cache

# 5.4.1 APPLICATION CASE STUDY: 179.ART

This application is an interesting case because it is small enough for manual optimization, parallelization, and conversion to streaming. At the same time it is a complete application that is significantly more complex than simple computational kernels like FIR or FFT,

and it has more room for interesting performance optimizations. It can be used as a case study to demonstrate various optimizations for both shared memory and streaming versions, as well as how streaming optimization techniques can also be used in the shared memory version [44, 45].



Figure 5.10: 179.art speedups

179.art optimizations include changing data layout, loop merging, elimination and renaming of temporary arrays, and application-aware local memory allocation. These techniques are described in more detail in Appendix B. The rest of this section analyzes the reasons for the difference in performance between streaming and shared memory

versions of the application and discusses techniques that can be used to improve performance of shared memory version by emulating streaming.

The streaming version has significantly better performance (STR vs. CC in Figure 5.10) and moves a smaller amount of data to and from off-chip memory (STR vs CC in Figure 5.11) than the optimized shared memory version.



Figure 5.11: 179.art off-chip memory traffic

Shared memory performance for 179.art can be improved using *hardware prefetching* [44, 45]. After splitting the fl\_layer into several separate arrays, as described in Appendix B, most of the accesses become sequential, making simple sequential prefetching very effective. Data cache miss rates for the configurations with prefetching (CC+prefetch in Figure 5.13) are significantly lower than for the configurations without prefetching (CC in Figure 5.13). Prefetching significantly increases off-chip memory bandwidth utilization (CC+prefetch vs CC in Figure 5.12). If off-chip memory bandwidth is doubled, then prefetching becomes even more effective (Figure 5.13b).



a) 1 Memory Controller per Quad

b) 2 Memory Controllers per Quad

Figure 5.12: 179.art off-chip memory utilization

For configurations with a large number of processors (32), prefetching has a smaller effect because the data cache miss rate is relatively small even without prefetching. Also, in this case each processor handles only a small part of the array and as a result initial data cache misses consume more time.

Prefetching reduces the number of cache misses. However, a significant difference remains in data locality, and as a result, there is a significant difference in the amount of data moved between local memories or first level caches and off-chip memory (STR vs CC and CC+prefetch in Figure 5.11). This difference also strongly affects energy dissipation [44, 45]. To close this gap, streaming optimizations for local memories can be *emulated* in the shared memory version of 179.art. Cache lines that correspond to data structures that are not desirable to keep in cache, can be flushed from the cache using

*cache control instructions* such as *data-hit-writeback-invalidate*<sup>8</sup> (DHWBI) in the Tensilica ISA and in other instruction set architectures such as MIPS [107]. DHWBI is safe to use because it doesn't change the application-visible state of the memory system. If the cache line is not present in the cache, DHWBI has no effect; if the line is present but not dirty, then it is simply invalidated; if the line is dirty, then it is written back and invalidated. For example, DHWBI can be used in yLoop (Appendix B) to flush cache lines corresponding to the BUS matrix. Since matrix BUS is much larger than the cache capacity, it is advantageous to flush cache lines corresponding to the BUS matrix to save space for vector P. The DHWBI instruction is executed once per eight iterations of yLoop.



Figure 5.13: 179.art data cache miss rate

This optimization partially eliminates cache pollution with data structures that are much larger than first level cache capacity. As result, the data cache miss can be reduced significantly, for example, for a 4-processor configuration, the miss rate decreases from

<sup>&</sup>lt;sup>8</sup> The Larrabee processor uses similar techniques to manage the content of caches and to reduce cache misses due to streaming accesses [21].

approximately 5.5% to 4%, similarly to hardware prefetching (CC+dhwbi vs. CC in Figure 5.13a). Moreover, in the case of limited off-chip memory bandwidth, the effects of locality optimization and prefetching are additive (CC+prefetch+dhwbi vs CC in Figure 5.13a). Off-chip memory traffic for the 4-processor configuration also decreases

significantly and becomes comparable to the streaming version of the application (CC+dhwbi vs. STR in Figure 5.11). Note that the cache coherent version with prefetching and locality optimization outperforms the streaming version for 1-4 processor configurations with increased off-chip memory bandwidth (Figure 5.10b).

For the 32-processor configuration, the effects of prefetching and locality optimization are small because even without these optimizations the cache miss rate is relatively small – approximately 2% (Figure 5.13), and off-chip bandwidth is not a limiting factor (Figure 5.12). The limiting factor for shared memory performance is synchronization stalls as shown in Figure 5.7 and Figure 5.9.

#### **5.5 CONCLUSIONS**

This chapter described how a stream programming model can be mapped onto the reconfigurable Smart Memories architecture. The flexibility of Smart Memories can be effectively used to simplify and optimize implementation of a complex stream runtime system such as the Stream Virtual Machine.

Our evaluation shows that some applications perform better in streaming mode while others perform better in shared memory cache-coherent mode. This result supports the idea of reconfigurable Smart Memories architecture that can work in both modes or in *hybrid* mode (i.e. when part of memory resources are used as cache and part as software-managed local memory). In contrast, for pure streaming architectures such as IBM Cell, in some cases programmers must implement caching in software to achieve good performance, e.g. Ray Tracer for the IBM Cell processor [76]. In these cases, pure stream architectures have dual disadvantages: they are more complex to program and their

performance is worse because of the overheads of software caching. The reconfigurable Smart Memories architecture gives application programmers flexibility to choose the appropriate type of memory structure, simplifying software and improving performance.

# **CHAPTER 6: TRANSACTIONS**

This chapter describes the implementation of the transactional memory mode of the Smart Memories architecture. The chapter begins discussing the functionality required for transactional memory and some high-level design decisions made during the mapping of transactional memory. Then, it describes the hardware configuration and transactional runtime, which takes advantage of the flexibility of the Smart Memories architecture. It concludes by providing performance evaluation results.

## 6.1 TRANSATIONAL FUNCTIONALITY

The transactional memory model has a number of required features that are not present in other memory systems:

- A transactional memory system must *checkpoint* its state at the beginning of each transaction. As a result, stores are speculative and must be buffered until *transaction commit*, and processor state (integer and floating point register files, other registers) must be saved at checkpoint.
- 2) Transaction's speculative changes must be *isolated* from other transactions until commit.
- 3) A transactional memory system must track *read-write dependencies* between transactions. Thus, loads from shared data must be tracked.
- 4) A transactional memory system must be able to restore its state to the checkpoint and to restart a transaction if a *dependency violation* is detected.
- 5) At transaction commit, speculative changes must become visible to the whole system.
- 6) If a transaction is *violated*, then all of its speculative changes must be *discarded*.

- A transactional memory system must be able to *arbitrate* between transactions for commit and ensure proper *commit serialization*<sup>9</sup>.
- 8) A transactional memory system must handle correctly *overflow* of hardware structures.
- 9) A transactional memory system must guarantee *forward progress*, i.e. must avoid *deadlock* and *livelock*.

These functional requirements are necessary for correct operation of transactional memory (Section 2.3.3). Other properties of transactional memory systems depend on the design decisions made by the architects, for example, *pessimistic* versus *optimistic conflict detection* as discussed in Section 2.3.3. These design decisions affect performance of transactional memory system for different applications<sup>10</sup>.

The Smart Memories architecture supports the *transactional coherence and consistency (TCC)* model, which is one proposed implementation of transactional memory [32]. The Smart Memories implementation of TCC is *hybrid*—part of the functionality is performed by runtime software because otherwise it would require TCC specific hardware structures or changes to the Tensilica processor that are not possible. Specifically, arbitration for transaction commit, transaction overflow handling, and processor state checkpointing are implemented in software.

The TCC system is guaranteed to make forward progress because it uses optimistic conflict detection. Conflicts are detected only after one of the transactions wins arbitration and performs commit, therefore, a transaction that causes other transactions to restart will never need to restart itself. Livelock is not possible because at least one transaction is making forward progress at any time.

<sup>&</sup>lt;sup>9</sup> Parallel transaction commit for non-conflicting transactions is also possible as proposed in [108].

<sup>&</sup>lt;sup>10</sup> Some of these design decisions affect implementation complexity with regard to subtle properties such as *strong atomicity*, which is discussed in Section 2.3.3.

Smart Memories TCC mode handles hardware overflow by partial *serialization* of transaction execution: an overflowing transaction arbitrates for a *commit token*, commits the partially executed transaction to free hardware resources, and continues execution without releasing the commit token. The commit token is released only when processor reaches its natural transaction commit point in the application code. No other transaction can commit before that<sup>11</sup>.

Note that a transactional memory system needs to buffer all stores during transaction execution<sup>12</sup>, both to shared and private data, while only loads to shared data need to be tracked for dependencies. This observation can be used to optimize transactional performance using the flexibility of the Smart Memories architecture.

#### **6.2 HARDWARE CONFIGURATION**

As described in the previous section, transactional systems need to keep a lot of state for correct operation, including dependency tracking information and buffered speculative changes. Because of limited hardware resources and the expensive hardware operations required, the Smart Memories architecture can execute only one transaction per Tile. As a result, only one processor in a Tile runs actual application transactional code. This processor is called *execution processor*. The other processor in a Tile, called *support processor*, is used by the TCC runtime system to handle *overflow*. Also, it is impossible to request a *precise exception* from outside of the Tensilica processor, i.e. it is not possible to restart execution of the program from precisely the same instruction that caused the overflow. Therefore, the memory system can only *stall* the execution

<sup>&</sup>lt;sup>11</sup> *Virtualization* of hardware transactional memory is another proposed alternative: if hardware overflow is detected, a virtualized system can switch to software transactional memory (STM) mode. Challenges associated with virtualization are discussed in [34].

<sup>&</sup>lt;sup>12</sup> Other approaches are also conceivable. For example, private data can be checkpointed explicitly by software. However, such approach makes transactions' semantics harder

processor in the case of an overflow and must use another processor to handle overflow in software.

In TCC mode, the memory mats inside the Tile are used as a traditional cache for instructions and a *transactional cache* for data (Figure 6.1). In addition to data and tag mats, the transactional cache includes an *address FIFO* mat that saves addresses of stores that must be committed at the end of transaction. Similar to streaming configurations (Section 5.1), the TCC configuration also uses uncached, local memory mats to store thread-private and shared *runtime state*.

Metadata bits in data mats are used for *speculatively modified* (*SM*) and *speculatively read* (*SR*) bits. An SR bit is set by a load to track read dependency. The SM bit is set by stores to avoid overwriting of speculatively modified data by other committing transactions and to avoid false read dependency. Thus, if a processor reads an address that was already modified by the transaction, then the SR bit is not set for loads to the same address, because the transaction effectively created its own *version* of the data word and that version should not be overwritten by other transactions.

*Sub-word stores*, i.e. byte and 16-bit stores, set both SR and SM bits to 1, i.e. they are treated as read-modify-write operations because SR and SM bits can be set only per 32-bit word. This can potentially cause unnecessary violations due to *false sharing*.

to understand, significantly complicates application development and introduces overhead.



Figure 6.1: Example of TCC configuration

Similarly, metadata bits in tag mats are also used for SM and SR bits. These bits are set to 1 whenever SM or SR bits are set within the same cache line. The SM bit in the tag mat is used to reset the valid bit (V) for speculatively modified cache lines in case of violation. This is performed by a *conditional cache gang write* instruction (Appendix A). This instruction is translated by the LSU into metadata conditional gang writes for all tag mats (Section 3.3).

Also, tag SM and SR bits are used by the Protocol Controller to determine cache lines that cannot be evicted from the cache. Eviction of a cache line with SR or SM bit set to 1 would mean loss of dependency tracking state or loss of speculative modifications. If no cache line can be evicted, then Protocol Controller sends an *overflow interrupt* to the support processor in the Tile to initiate overflow handling by runtime software (Section 6.4).

During transaction commit or violation, all transactional control state, i.e. the SR/SM bits in tag and data mats must be cleared. Such clearing is performed by the *cache gang write* instruction (Appendix A). This instruction is translated by the LSU into metadata gang writes for all tag and data mats (Section 3.3).

Similarly to a conventional cache, a load to a transactional cache causes the LSU to generate tag and data mat accesses that are routed to appropriate mats by the crossbar (Figure 4.1).

For a store to a transactional cache, the LSU, in addition to tag and data accesses, generates a FIFO access that records a store address for transaction commit (Figure 6.1). The IMCN is used to send a Total Match signal from the tag mat to the address FIFO mat to avoid writing the FIFO in the case of a cache miss. Also, the SM bit is sent from the data mat to the FIFO mat via the IMCN to avoid writing the same address to the FIFO twice. The threshold register in address FIFO is set to be lower than the actual size of the FIFO (1024 words) to raise the FIFO Full signal before the FIFO actually overflows. If the LSU receives a FIFO Full signal after a transactional store, it sends a *FIFO Full message* to the Protocol Controller, which sends an overflow interrupt to the support processor just as in other cases.

To perform a commit, speculative changes made by a transaction must be broadcast to other Tiles and Quads in the system and to the Memory Controllers. The broadcast is performed by a DMA engine in the Protocol Controller. Control microcode of the DMA engine is modified for this special mode of DMA transfer, which consists of three steps for each address/word pair:

- 1) read the address from the FIFO;
- 2) read the data word from the transactional cache;
- 3) broadcast the address/data word pair.

Inside the Protocol Controller, each broadcast address/data pair goes through MSHR lookup to check for collision with outstanding cache miss requests. If a conflict is detected and the cache miss request is in the *transient* state, i.e. the cache line is being copied to or from the line buffer, then the broadcast must be stalled. If a conflicting request is not in a transient state, then the Protocol Controller updates the corresponding line buffer with the committed data word. This update is complicated. For example, suppose a store miss has valid data bytes in the line buffer, which corresponds to the bytes written by the processor, and should not be overwritten by the commit, i.e. commit data must be merged with miss data with priority given to the miss data. However, the commit for the next transaction might write the same address again while the store miss is still outstanding. Therefore, the commit must be able to overwrite previous commit data but not overwrite store miss data. This requires extra byte valid bits in the line buffer <sup>13</sup>.

After the MSHR lookup/line buffer update, the commit is sent to the transactional cache of other Tiles and to other Quads through the network interface. The data word in the cache is updated if the corresponding cache line is present and the SM bit is not set for this word. The SR bit is returned back to the Protocol Controller and if it is set to 1, then the Protocol Controller initiates a *violation interrupt* process.

Violation interrupt is a *hard interrupt* (Section 3.4.1), which must unstall the processor even if the processor is stalled waiting on a synchronization instruction. Any outstanding synchronization miss must be *canceled* to avoid *dangling* miss requests, which can cause *hardware resource leaks*. Canceling outstanding requests is complicated because requests can be in flight in the Protocol Controller or Memory Controller pipeline, network buffers, etc. To ensure correct operation, *cancel messages* are sent on virtual channels that have lower priority than virtual channels used by synchronization messages (Section

<sup>&</sup>lt;sup>13</sup> This problematic case of commit data overwrite by another commit was found only in RTL simulation during design verification.

3.6), and go through the same pipeline stages as synchronization operations. Therefore, when the Protocol Controller receives all cancel acknowledgement messages there are no outstanding miss requests in the system from the processor being violated.

Hard interrupts are delayed by the interrupt FSM until all outstanding load and store cache misses are completed, instead of trying to cancel such requests. This is possible because, unlike synchronization operations, loads and stores cannot be stalled for an unbounded number of cycles. This design decision simplifies the design and verification of the system.

### 6.3 TCC OPTIMIZATION

A simple TCC model assumes that all speculative changes performed by the transaction must be broadcast to the whole system. Such an approach simplifies programming model for the application developer; however, it can also degrade performance because part of the transaction writes are committed to thread-private data, e.g. the stack, which is never shared with other threads/transactions. Unnecessary commit broadcasts can slow down application execution because they have to be serialized and can be a performance bottleneck for larger system. Also, unnecessary broadcasts waste energy.

In addition, loads to such thread-private data do not need to be tracked by the transactional memory system because by definition they cannot cause transaction violation. As a result, cache lines corresponding to such loads do not need to be locked for the duration of the transaction and therefore the probability of overflow can be reduced.

Smart Memories flexibility can be used to optimize the handling of such private data. We define the *TCC buffered* memory segment as a segment in which loads are not tracked and stores are buffered but not broadcast, i.e. this segment is not *TCC coherent*. Data can be placed in the TCC buffered segment either by default through compiler memory map

settings, e.g. for stack and read-only data, or explicitly using the \_\_attribute\_\_ ((section(".buffered\_mem"))) construct of the GNU C compiler [106], which is also supported by the Tensilica XCC compiler.

This approach is different from other approaches [36, 37], which introduce *immediate load*, *immediate store* and *idempotent immediate store* instructions that are not tracked by the transactional memory system (i.e. their addresses are not added to transaction read or write-set). However, it is not obvious how to use such instructions: either the compiler must be modified to generate application code with such instructions or the programmer must explicitly use them in the application code. In contrast, the TCC buffered memory segment approach does not require changes in the compiler or application. In addition, a small change in the application source code (marking the data structure with an attribute construct) results in even bigger performance improvement as shown in Section 6.5.

Also, a TCC buffered store is semantically different from the immediate store or idempotent immediate store in [36, 37]: it is buffered by the memory system until transaction commit and not propagated to the main memory.

To support the TCC buffered memory segment an extra metadata bit, called the *Modified* (M), is used in the tag mat. A TCC buffered store sets the M bit to 1 along with the SM bit. If the transaction is violated, then the cache line is invalidated by the conditional gang write instruction because the SM bit is 1. During commit the SM bit is reset by the gang write instruction but the M bit remains set to 1. When the next transaction performs a TCC buffered store to the same cache line (M==1 and SM==0), a *TCC writeback* request is generated by the Tile LSU and sent to the Protocol Controller. The Protocol Controller writes back the cache line to the main memory or the second level of the cache, effectively performing *lazy*, *on-demand* commit for the previous transaction and resets the M bit. Thus, commit of TCC buffered stores is overlapped with the execution of the next transaction and possibly with the commit broadcast of transactions executed on other processors.

Loads to the TCC buffered memory segment do not set the SR bit, thus, avoiding locking corresponding cache lines in the cache and reducing the probability of overflow.

### 6.4 TCC RUNTIME

The Smart Memories implementation of TCC supports several TCC API function calls [32], as described in Appendix C.

The TCC runtime performs arbitration for transaction commit and handles transaction overflow. Processor state checkpointing and recovery from violation is also performed in software.

The execution processor in Tile 0 of Quad 0 is also used as a *master processor*, which executes sequential parts of the application and sets up runtime data structures that are shared by all processors in the system. Shared runtime data structures are placed in the dedicated memory mat in Tile 0 of Quad 0 (Figure 6.1) because the master processor has to access these data structures most frequently.

The shared data mat contains: barriers used to synchronize processors in the beginning and at the end of the transactional loop execution; an array of locks used by the processor to arbitrate for commit; data structures used to keep track of transaction phase numbers; etc.

#### 6.4.1 ARBITRATION FOR COMMIT

When a processor has to arbitrate for commit, it issues a synchronized store instruction to the lock variable corresponding to its current phase number. This store tries to write its processor ID. If no other processor is doing a commit and the processor phase number is oldest, then the lock variable is set to empty and synchronized store succeeds, and the processor starts its commit broadcast by writing into the DMA control register. During the broadcast, the processor resets the SR and SM bits in the transactional cache, checkpoints its own state, updates runtime state, etc. After completion of the broadcast the processor releases the commit token by doing a synchronized load to the same lock variable (if there are other processors with the same phase number) or to another lock variable (the one that corresponds to the next active phase number). Thus, if the broadcast is long enough, then instructions required for runtime updates are overlapped with broadcast and software overhead of the commit is minimized.

Commit and other API calls are implemented as *monolithic inline* assembly blocks, hand scheduled to use the minimum number of instructions and cycles. The most frequently used call, TCC\_CommitO(), uses only 19 instructions (not including instructions required to checkpoint processor register files as described below). These assembly blocks must be monolithic to prevent the compiler from inserting *register spills* in the middle of commit or other API calls. Otherwise, such register spills are compiled into stores to the thread stack, i.e. to the TCC buffered memory segment, which might cause overflow and *deadlock* in the middle of commit code.

### 6.4.2 HARDWARE OVERFLOW

The TCC runtime must also handle hardware overflow, which is detected either if the address FIFO threshold is reached or if no cache line can be evicted because of SR/SM bits. Upon overflow, the Protocol Controller sends a *soft interrupt* to the support processor in the same Tile, while at the same time the execution processor is blocked at the Protocol Controller interface. The support processor checks whether the commit token was already acquired by reading runtime state in the local data mat (Figure 6.1). If not, then the support processor performs arbitration using a pointer saved by the execution processor in its local data mat, initiates DMA commit broadcast and resets SR/SM bits in the transactional cache. Also, it updates local runtime state to indicate that the commit token was already acquired. At the end of the overflow interrupt handler the

support processor unblocks the execution processor by writing into the control register of the Protocol Controller.

If a dependency violation is detected by the Protocol Controller, then both processors in the Tile are interrupted with high-priority hard interrupts, i.e. the processors would be unstalled even if they were blocked on synchronization operations. The support processor must be hard interrupted because it may be stalled arbitrating for commit token. The violation interrupt handler for the execution processor invalidates all speculatively modified cache lines in the transactional cache, resets SR/SM bits and the address FIFO and then returns to the checkpoint at the beginning of the transaction.

The TCC runtime code is complicated because it has to correctly handle multiple simultaneous asynchronous events such as violation and overflow interrupts. Many complex corner case bugs were discovered only during RTL verification simulations. Fixes for these bugs required subtle changes in the runtime code.

#### 6.4.3 PROCESSOR STATE RECOVERY FROM VIOLATION

To be able to restart execution of a speculative transaction after violation, all system state must be saved at the beginning of the transaction. The memory system state can be quickly restored to the check point because all speculative changes are buffered in the transactional data cache and can be easily rolled back by invalidating cache lines using gang write operations. Processor state must be checkpointed separately

Processor state consists of general purpose register files (integer and floating point) and various control registers. One complication is that the processor state might be checkpointed anywhere during application execution, including inside a function or even recursive function calls, because the programmer can insert TCC\_Commit() anywhere in the application code. If TCC applications were compiled using Tensilica's standard *windowed* application binary interface (ABI), which relies on the register window mechanism, then checkpointing would require saving the whole integer register file and

not just the current register window. This would be expensive from a performance point of view and would significantly increase application size.

Instead, the Smart Memories implementation of TCC utilizes Tensilica's optional *non-windowed* ABI, which does not use register windows. In this case, the compiler can be forced to spill general purpose registers into the stack memory at the transaction check point using the asm volatile construct. After a checkpoint, the compiler inserts load instructions to reload the values into the register files.

An alternative approach for windowed ABI is discussed in Appendix D; this alternative approach, however, cannot be used for checkpoints inside subroutine calls.

The advantage of the non-windowed approach is that the compiler spills only live register values, minimizing the number of extra load and store instructions. Temporary values produced during transaction execution do not need to be spilled. The disadvantage of spilling all live values is that some of them may be constant during the execution of the transaction.

Spilled register values in the memory are check-pointed using the same mechanism as other memory state. The only general purpose register that can not be check-pointed in this way is the *stack pointer* register a1; we use a separate mechanism for the stack pointer as well as for other processor control registers.

To save the state of the control registers we added three more registers and used one of the optional scratch registers (MISC1):

- SPEC\_PS a copy of the PS (processor status) register;
- SPEC\_RESTART\_ADDR the transaction restart address;
- SPEC\_TERMINATE\_ADDR the address to jump to in case of execution abort;
- MISC1 stack pointer.

To use these registers in interrupt handlers we added two special *return-from-interrupt* instructions:

- SPEC\_RFI\_RESTART return from interrupt to the address stored in SPEC\_RESTART\_ADDR register, SPEC\_PS register is copied atomically to PS;
- SPEC\_RFI\_TERMINATE the same except that SPEC\_TERMINATE\_ADDR register is used as the return address.

## **6.5 EVALUATION**

Table 6.1 summarizes characteristics of the transactional mode of the Smart Memories architecture. As in hardware transactional memory architectures (HTM) application loads and stores are handled by hardware without any software overhead. The main difference from HTM architectures is in handling transaction commit by software, which increases commit overhead.

Name	Value	Comment			
loads/stores	1 cycle	no overhead compared to cache-coherent mode			
commit	19 instructions	TCC_Commit0();			
		7 instructions are overlapped with DMA commit;			
		2 synchronized remote mat operations to acquire and to release commit token			
processor register checkpoint	1 load and 1 store per live register	typical number of live register values is 5			
DMA commit	one 32-bit word per cycle	assuming no conflicts in caches, MSHRs, and network interface			
violation interrupt	9 instructions				

Table 6.1: Characteristics of Smart Memories transactional mode

Three applications, barnes, mp3d and fmm from SPLASH and SPLASH-2 suites, were used to evaluate the performance of the TCC mode of the Smart Memories architecture.

These applications were converted to use transactions instead of their original ANL synchronization primitives [109]. All benchmarks were compiled with the Tensilica optimizing compiler (XCC) using the highest optimization options (–O3) and interprocedural analysis enabled (–ipa option). For these experiments we used configurations with 4 MB second level cache.

Performance scaling for TCC is shown in Figure 6.2. For comparison, speedups for original cache coherent versions of the same applications are also shown in Figure 6.2 (designated as CC - dotted lines). All speedup numbers are normalized with respect to execution time of TCC version running on a single Tile configuration.



Figure 6.2: Performance scaling of TCC applications

On a single Tile configuration, all applications show similar performance slowdown in the range of 13-20% with respect to the original shared memory code. The reason for this slowdown is because the TCC version has to execute more instructions for TCC API calls and to spill and re-load registers at processor state checkpoints.



Figure 6.3: Cycle breakdown for barnes

As the number of processors increases, the performance of barnes continue to scale up, to the 16-Tile configuration. However, for the 16-Tile configuration, stalls due to commit arbitration and overflow increase the slowdown in comparison with the shared memory version (sync and overflow stalls in Figure 6.3). This happens because of frequent transaction commits, which were added to barnes code to minimize overflows.

On the other hand, the performance of mp3d and fmm doesn't scale beyond 8 Tiles. These applications also exhibit a significant percentage of synchronization stalls due to frequent commit arbitration (Figure 6.4 and Figure 6.5). The performance of mp3d suffers because of large number of violations: the percentage of violated transactions increases with the number of Tiles, reaching as high as 40% in the 16-Tile configuration (Figure 6.6). This is because mp3d performs a lot of reads and writes to shared data and, in fact, the original shared memory version has data races that are successfully ignored [95]. As the number of transactions executed in parallel increases, the probability of transaction conflicts and violations also increases, leading to performance degradation. The performance of fmm also suffers because it performs a large number of commits to avoid overflows or to avoid deadlock on spin-locks.



Figure 6.4: Cycle breakdown for mp3d



Figure 6.5: Cycle breakdown for fmm



Figure 6.6: Percentage of violated transactions

To evaluate the performance impact of the *TCC buffered* segment optimization feature (described in Section 6.3), Figure 6.2 also shows speedups for configurations in which all data memory segments are set to *TCC coherent* (designated as TCC nobuf – dashed lines). TCC buffered segment optimization doesn't improve performance significantly for mp3d or for barnes on small configurations. However, the performance of barnes on the largest 16-Tile configuration improves quite significantly: 9.14x speedup versus 5.36x speedup. This is because barnes performs 77% of loads and 99% of stores to the TCC buffered segment (Table 6.2). As a result, data words written by these stores do not need to be broadcast during transaction commits, reducing commit latency and performance penalty due to *commit serialization*. Also, the reduction in the amount of data necessary to be broadcast lowers bandwidth requirements on interconnection network and reduces energy dissipation.

Similarly, fmm performs 51% of loads and 87% of stores to the TCC buffered segment (Table 6.2), however, TCC buffered segment optimization does not have significant

effect because fmm performs a large number of commits to avoid overflows or deadlock on spin-locks.

In contrast, the percentage of TCC buffered loads and stores for mp3d is significantly lower (Table 6.2). Also, the performance of mp3d on large configurations suffers because of an increased number of violations due to frequent modifications of shared data. As a result, the effect of TCC buffered segment optimization is negligible.

Number of processors		1	2	4	8	16
barnes	buffered loads, %	77.2	77.08	77.14	77.05	76.79
	buffered stores, %	99.32	99.31	99.31	99.27	99.03
mp3d	buffered loads, %	14.13	14.21	14.35	14.69	16.07
	buffered stores, %	29.91	29.93	29.94	29.98	30.09
fmm	buffered loads, %	51.75	51.72	51.68	51.62	51.44
	buffered stores, %	87.71	87.6	87.63	87.57	87.57

Table 6.2: Percentage of TCC buffered loads and stores

## 6.6 POSSIBLE EXTENSIONS AND OPTIMIZATIONS

Rich semantic extensions for transactional memory have been proposed [36, 37]. Some of these extensions such as *two-phase commit* and *transactional handlers* (commit, violation, and abort) can be implemented in Smart Memories by modifying runtime software and API call implementation. The reconfigurable memory system can provide additional flexibility for such software extensions, for example, uncached local and shared memory mats can be used to store and communicate state between transactional handlers.

Although performance of transactional applications can scale as shown in the previous section, it can be further optimized. One idea is to store addresses of cache lines in the FIFO instead of addresses of individual data words. The Tile configuration can be easily

adjusted to send cache line addresses and SM bits from the tag mat to the FIFO, thus recording the unique address of each cache line modified by the transaction.

A more significant change is required in the Protocol Controller: it has to read the whole cache line at once, determine which words were modified and merge them with conflicting line buffers, update other transactional caches and check for violations. The advantage of such an approach is faster commit if multiple words per cache line are modified by the transaction.

Another idea for performance optimization is to implement a different transactional model on the reconfigurable Smart Memories architecture. Instead of the TCC model of "all transactions, all the time", this new model would build on top of the shared memory model with cache coherence. Transactions would be executed only when necessary to modify shared data atomically. The motivation for this idea is based on the observation that in many cases, applications do not need to run transactions all the time, and running transactions can be expensive from a performance point of view. For example, barnes (Section 6.5) spends most of the time reading shared data without updating it, however, it has to perform frequent commits to avoid overflows.

In this model, the memory system would be configured to run with cache coherence but could be switched into transactional mode by the application at the beginning of a transaction. In transactional mode, the addresses of modified cache lines would be recorded in the FIFO similarly to TCC. At commit time, the addresses from the FIFO can be broadcast by the DMA to all other caches in the system to invalidate all copies of modified cache lines and to detect conflicts with other transactions.

#### 6.7 CONCLUSIONS

This chapter described how the transactional memory model is mapped to the Smart Memories architecture. The flexibility of Smart Memories is used in two ways: 1) memory mats configured as local memories are used to store the state of the transactional runtime system, which greatly simplifies its implementation; 2) reconfigurability in metadata handling is used for performance optimization, by lazily committing private data. We feel that these examples are just a start. With further work, we will be able to use the configurable memory system to continue to tune transactional memory performance.

# **CHAPTER 7: CONCLUSIONS AND FUTURE DIRECTIONS**

Due to slowdown in single processor performance growth there is a trend towards chip multi-processors, which can deliver continuing performance scaling. However, these promised performance gains depend on the availability of parallel applications. Development of such applications is challenging and there is no agreement about a single parallel programming model.

This dissertation described the design of the polymorphic reconfigurable Smart Memories architecture, which supports three different models: shared memory with cache coherence, streaming, and transactions. Smart Memories achieves good performance and scalability for a variety of applications developed using three different programming models. Flexibility in choosing a programming model is useful because of two reasons:

- 1) matching the programming model to the applications simplifies development;
- 2) choosing the right execution model improves performance, e.g. some applications perform better in streaming mode than in shared memory mode or vice versa.

Also, the reconfigurability of Smart Memories can be used to implement the semantic extensions of a particular programming model. For example, we added fast fine-grain synchronization operations in shared memory mode, which are useful for applications with a producer-consumer pattern.

The flexibility of the Smart Memories architecture is also useful for implementation of complex software runtime systems such as the Stream Virtual Machine or the transactional runtime. Such runtime systems use dedicated memory blocks to store critical state to optimize performance and simplify synchronization.

These advantages of dynamic reconfiguration need to be weighed against the costs incurred by this approach. The Smart Memories test chip implementation provided a framework for this analysis. It showed that the reconfiguration overheads are relatively large, increasing the area of each Tile by around 2x. This additional area was mostly caused by our standard cell implementation of the metadata storage and would be significantly smaller in full custom implementation.

### 7.1 FUTURE DIRECTIONS

The goal of the Smart Memories project was to design a general purpose flexible architecture whose resources can configured in the most efficient way for a particular application. Embedded system designers have to solve a similar problem of efficient resource allocation and to generate an optimized custom design for a particular application. This observation led us to the idea of the Chip Multi-Processor Generator [46]. Such a generator presents to the designer an abstraction of a virtual flexible architecture with very unconstrained resources. After configuration, the generator can produce an optimized custom design for a particular application.

The Smart Memories architecture can serve as the basis for a virtual flexible architecture: configuration of the memory system can be tailored to the requirements of a given application as described in this thesis. After that, configuration can be fixed and unused logic and resources can be optimized away. Processors can be extended with custom functional units and register files using the capabilities of the Tensilica system.

# APPENDIX A: SPECIAL MEMORY INSTRUCTIONS

Full list of memory instructions that were added to the Tensilica processor to exploit the functionality of the Smart Memories architecture:

- *synchronized load*: stall if *full/empty* (FE) bit associated with data word is zero ("empty"), unstall when the FE bit becomes one ("full), return data word to the processor and atomically flip the FE bit to zero;
- *synchronized store*: stall if the FE bit is 1, unstall when it becomes 0, write data word and atomically flip the FE bit to 1;
- *future load*: the same as synchronized load but the FE bit is not changed;
- *reset load*: reset FE bit to 0 and return data word to the processor without stalls regardless of the state of the FE bit;
- *set store*: set FE bit to 1 and write data word without stalls;
- *meta load*: read the value of meta data bits associated with data word;
- *meta store*: write to meta data bits;
- *raw load*: read data word skipping virtual-to-physical address translation, i.e. effective address calculated by the instruction is used as physical address directly;
- *raw store*: write data word skipping virtual-to-physical address translation;
- *raw meta load*: read metadata bits skipping virtual-to-physical address translation;
- *raw meta store*: write metadata bits skipping virtual-to-physical address translation;
- *fifo load*: read a value from a memory mat configured as a FIFO, FIFO status register in the interface logic is updated with FIFO status information, i.e. whether FIFO was empty;
- *fifo store*: store a value to a FIFO, FIFO status register is updated with FIFO status information, i.e. whether FIFO was full;

- *safe load*: read a data word from the memory and ignore virtual-to-physical address translation errors;
- *memory barrier*: stall the processor while there are outstanding memory operations,
  i.e. non-blocking stores;
- *hard interrupt acknowledgement*: signal to the memory system that a hard interrupt was received by the processor, this instruction is supposed to be used only inside interrupt handler code;
- *mat gang write*: gang write all meta data bits in the memory mat, supported only for 3 meta data bits;
- *conditional mat gang write*: conditionally gang write all meta data bits in the memory mat, supported only for one meta data bit;
- *cache gang write*: gang write all meta data bits in the data cache, supported only for 3 meta data bits;
- *conditional cache gang write*: conditionally gang write all meta data bits in the data cache, supported only for one meta data bit;
- *spec\_cmd*: functional NOP, used by statistics control.
## **APPENDIX B: 179.ART STREAMING OPTIMIZATIONS**

179.art is one of the benchmarks in SPEC CFP2000 suite [97]. It is a neural network simulator that is used to recognize objects in a thermal image. The application consists of two parts: training the neural network and recognizing the learned images. Both parts are very similar: they do data-parallel vector operations and reductions.

This application is an interesting case because it is small enough for manual optimization, parallelization, and conversion to streaming version. At the same time it is a complete application that is significantly more complex than simple computational kernels like FIR or FFT, and it has more room for interesting performance optimizations. It can be used as a case study to demonstrate various optimizations for both shared memory and streaming versions, as well as how streaming optimization techniques can also be used in the shared memory version [44, 45].

Figure B.1 shows a sequence of inner loops from 179.art's train\_match() function (training part). Vectors or arrays of floating point numbers are labeled with capital letters while scalar values are named using small letters. The size of vectors for the reference data set is 10,000. BUS and TDS are big matrixes that are more than 10 times larger than vectors. For example, wLoop iterates over elements of I and U vectors and produces a vector W and a scalar tnorm which is a result of reduction calculation, i.e. sum of squares of elements of W.

The original sequential version of this benchmark exhibits very low cache locality: the L1 cache miss rate is 35.5%. This is because the main data structure of the application called f1\_layer is an array of structures that group together elements of vectors I, U, W, X, V, P, Q. As a result, consecutive elements of the same vector are not adjacent in the memory.



Figure B.1: Inner loop sequence of train\_match(): before and after optimization

We optimized the code by splitting fl\_layer into several separate arrays of floating point values each corresponding to different vector. This dramatically improved cache locality and reduced cache miss rate to approximately 10% because now successive iteration of the inner loop access adjacent words in the same cache line<sup>14</sup>, exploiting spatial data locality.

The code of train\_match() can be further significantly optimized as shown in Figure B.1 by *merging loops (kernel fusion*) and *demoting arrays to scalars* after loop merging. For example, after merging xLoop and vLoop into xvLoop, X becomes a scalar, local to xvLoop and does not need to be written into memory.

The memory footprint of the inner loops can be further reduced by *renaming of vectors/arrays* to reuse the minimal number of arrays for temporary data. For example, the same U vector can be used as input and output of wLoop. After all renaming optimizations, only two such arrays, U and P, are necessary to store temporary data between consecutive loops, as shown on the right side of Figure B.1.

All techniques discussed so far are applicable to sequential, multi-threaded shared memory and streaming versions of 179.art and can be performed at the source code level without the knowledge of details of a particular architecture or configuration. As a result, the data cache miss rate in on a single processor configuration is reduced from 35% to approximately 7%, while the execution time improves by a factor of 6.1. For the multi-threaded version running on a 16-processor configuration, the cache miss rate improved from 22% to approximately 2%, while execution time improved by a factor of 7.2 over the original code. The hit rate is higher for the multi-processor configuration because the same data set is partitioned across multiple caches.

The next stage of optimization is specific to the streaming architecture. Part of the local memory inside each Tile is allocated for buffering data fetched by the DMA; however, this occupies only a small portion of available local memory. Increasing the size of the buffers beyond certain point doesn't affect performance and in fact can decrease performance because large chunks can cause granularity and load imbalance problems. In

<sup>&</sup>lt;sup>14</sup> Sun compiler can automatically perform this optimization.

case of 179.art DMA, the chunk size is set to 125 vector elements, which occupies only 500 bytes in the local memory. Given that kernels or loops operate on at most three vectors and each vector requires double buffering, only about 3 KB of local memory is necessary for stream/DMA data buffering.

The rest of the local memory can be used to store most frequently used data. As the number of processors and the total size of local memory increases, a larger portion of the working data set can be placed into local memories. For example, in a 2-processor configuration, only the P vector is placed in the local memories, while in a 4 or 8-processor configuration, U vector is also allocated locally. Also, even if the data structure is too large it can be *partially* allocated in the local memory, e.g. only part of BUS matrix is in the local memory for 16 or 32-processor configurations while the rest still has to be fetched by DMA. By doing such *application-aware local memory allocation* rather than oblivious hardware caching, locality can be further improved and off-chip memory traffic is reduced. Of course, this optimization significantly complicates application source code because optimized code can contain many slightly different versions of kernels/loops to handle the different ways of allocating local memory.

Local memory allocation is similar to traditional *register allocation* in optimizing compilers: the usage of fast small storage is optimized by allocating this storage to the most frequently used data at each point of the application. However, in general it is a more complicated problem than register allocation: size of arrays might be determined only at runtime and optimal allocation might depend on total size of all local memories.

Another streaming optimization is moving DMA transfer from the beginning of the loop into the end of the previous loop. For example, a DMA fetch of the P vector (right side of Figure B.1) can be started at the end of wLoop instead of beginning of xvLoop. This transformation allows overlapping communication of reduction variable tnorm and processor synchronization at the end of wLoop with DMA transfer for the next loop.

## APPENDIX C: TCC APPLICATION PROGRAMMING INTERFACE

TCC\_Loop(funcPtr, argPtr) – executes *ordered transactional loop*, i.e. iterations of the loop are executed as transactions on different processors with sequential commit order; the code of loop body should be separated into a function, pointed by funcPtr, parameters can optionally be passed through a structure, pointed by argPtr. Parameter structure must be declared as global variable or allocated on the heap.

TCC\_LoopLabel(funcPtr) – executes *inline* ordered transactional loop, i.e. the same loop body function can contain more than one transactional loop.

TCC\_ULoop(funcPtr, argPtr) - executes unordered transactional loop, similar to TCC\_Loop().

TCC\_ULoopLabel(funcPtr) – executes inline ordered transactional loop, similar to TCC\_LoopLabel().

TCC\_LoopEnter() – synchronizes processors in the beginning of transactional loop execution.

TCC\_LOOPExit() – synchronizes processors at the end of transactional loop execution.

TCC\_Commit(phaseInc) – perform transaction commit and start a new transaction with *phase number* ([32], Section 2.3.3) equal to current phase number + phaseInc.

TCC\_CommitO() - performance optimized version of TCC\_Commit() for the most frequent case.

TCC\_UBARRIER() – transactional barrier: processors perform commit, wait until all processors arrive to the barrier, and start new transactions. Transactional barrier is a performance optimization construct which can be used to separate different phases of application execution and minimize transaction violations.

int TCC\_GetNumCpus() - returns the number of parallel processors executing transactions.

int TCC\_GetMyId()- returns unique processor ID in the range of 0... TCC\_GetNumCpus()-1.

An example of TCC API usage - simple histogram code using TCC unordered loop:

```
void mainX( int argc, char * argv[] ) {
    ...
    TCC_ULoop( &parallel_test_loop, NULL );
    ...
}
void parallel_test_loop( void * context )
{
    TCC_LoopEnter();
    for (int i = TCC_GetMyId(); i < NUM_DATA; i+= TCC_GetNumCpus() ) {
        bin[A[i]-1]++;
        TCC_Commit(0);
     }
    TCC_LoopExit();
}</pre>
```

## APPENDIX D: USING A REGISTER WINDOWS MECHANISM FOR PROCESSOR STATE CHECKPOINTING

To checkpoint processor state in the beginning of speculative execution, previously proposed *thread-level speculation* (TLS) architectures modify the register renaming mechanism in out-of-order execution processors [111, 112] or utilize a shadow register file [9]. It is possible to accomplish the needed checkpoint with little overhead and almost no hardware support (no shadow registers) in a machine with register windows. In order to explain the proposed approach, let's consider an example of a windowed register file that consists of 64 physical registers, divided into groups of four, with 16 register window being visible at any time. Figure D.2a shows the two registers, and indicates where a register window starts. Window Base is a pointer to the beginning of the current window in Window Start.

On each function call, as directed by the compiler, the register window shifts by 4, 8 or 12 registers (Figure D.2b). Register window overflow occurs when, after shifting, Window Start has 1 within the current window (Figure D.2c). In this case, an exception handler is invoked to spill the registers over to the memory.

When a context runs a speculative thread, register values can fall into one of the following categories:

- constants, which are passed to the speculative thread and are not changed during the execution;
- shared variables, which are modified by other threads and must be read from memory before they are used for computation;
- temporary values, which are live only during the execution of this thread;

• privatized variables, such as loop induction variable or reduction variables.

The first three categories do not require to be saved at the start of speculative thread, since they are not changed at all or are reloaded or recalculated. To simplify the violation recovery process, we have forced privatized variables to go through memory as well: the values are loaded at the start of the speculation and are saved back in the memory at the end. Software overhead is typically quite small because speculative threads usually have few privatized variables.

If a speculative thread does not contain any function calls, the register window will not move during the execution of the speculative thread. As discussed, since the registers in the active window do not change, the recovery process after mis-speculation is very rapid, since no restoration of the register values is required. However, if there is a function call in the speculative loop body, the register window will be shifted by the function call. If a violation is detected while the thread is in the middle of the function call, the state of the register for each context are added to the processor for saving and restoring Window Start and Window Base values atomically. In order to keep the recovery operation simple and fast, the exception handler for the window overflow is also modified to avoid spilling the registers when a context is speculative. This way, it is not necessary to read back the spilled values into the register file in the case of violation; the window exception handler is simply stalled until the thread becomes non-speculative and can commit its changes to the memory system.

In comparison with shadow register file approach, our technique requires little extra hardware: a special register per context to save values of Window Start and Window Base, and two new instructions. In comparison with a purely software approach (which takes tens to a hundred cycles), our technique is significantly faster: it requires one instruction to save Window Start and Window Base and only a few store instructions for privatized variables, since a typical speculative thread rarely has more than two privatized variables. It should be noted that this checkpointing technique is not applicable to non-windowed architectures such as MIPS, because function calls may overwrite any register regardless of how it was used in the caller function.



Figure D.2: Register windows

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